

Estimation of above-ground biomass using machine learning approaches with InSAR and LiDAR data in tropical peat swamp forest of Brunei Darussalam

Elaheh Zadbagher ⁽¹⁾, Aycan Murat Marangoz ⁽¹⁾, Kazimierz Becek ⁽²⁾ Forest above-ground biomass (AGB) is one of the critical measures of forest resources. Therefore, it is crucial to identify a reliable method to estimate the AGB, especially in the tropics, where forest ecosystems are exposed to several depleting factors, including deforestation, climate change and replacing natural forests with palm oil tree plantations. We investigated the digital elevation data over the forest and uses an artificial intelligence-based approach to develop a method for quick and cost-effective assessment of the AGB. The study was conducted in the tropical peatland rainforest of Brunei Darussalam. The Shuttle Radar Topography Mission (SRTM) elevation data product and Light Detection and Ranging (LiDAR) digital elevation data were used. A linear regression (LR) model and three different machine learning (ML) algorithms, i.e., Random Forest (RF), Artificial Neural Network (ANN) and Support Vector Machines (SVM), were tested and compared. As model inputs, the SRTM elevation and distance from the peat dome's center, a feature of a peatland swamp forest, were used. ML methods were trained on the samples taken from the LiDAR elevations. The validation results showed that the SVM was the best method to predict AGB in the study area with $R^2 = 0.70$, RMSE = 83.65 Mg ha⁻¹, and MAE = 74.43 Mg ha⁻¹, which in relative terms corresponds to approximately 6% of the AGB of the forest of interests. This study demonstrated the potential of ML algorithms in AGB estimation based on canopy height derived from the InSARbased DEM in tropical forests.

Keywords: Above-ground Biomass, Machine Learning, Tropical Forest, InSAR, Badas Peatland Forest

Introduction

The Food and Agriculture Organization (FAO) defines Forest above-ground biomass (AGB) as "all living biomass above the soil, including stem, stump, branches, bark, seeds, and foliage". Forest AGB is one of the critical measures of forest resources. Tropical forests cover 45% of the world's total forest area and provide about one-fifth of the global carbon stock (Hunter et al. 2013, Marchesan et al. 2020). A more accurate estimate of forest AGB at various scales is needed to quantify the greenhouse gas emissions caused by deforestation and forest degradation (López-

Serrano et al. 2020). Generally, field-based measurements and remotely sensed techniques are used to estimate AGB. Fieldbased methods provide the most accurate AGB values but have limitations, such as being labor-intensive, costly and time-consuming for large-scale projects (Kappas 2020). In addition, tropical forests contain obstacles to field-based tree height estimation, including dense understory vegetation and closed-canopy conditions (Hunter et al. 2013). Due to these characteristics, remote sensing methods have proven to be more accurate for monitoring and measuring forest biomass. Different remotely

□ (1) Geomatics Engineering Department, Zonguldak Bulent Ecevit University, Zonguldak (Turkey); (2) Faculty of Geoengineering, Mining, and Geology, Wroclaw University of Science and Technology, Wroclaw (Poland)

@ Aycan Murat Marangoz (aycanmarangoz@hotmail.com)

Received: Jul 22, 2023 - Accepted: Mar 05, 2024

Citation: Zadbagher E, Marangoz AM, Becek K (2024). Estimation of above-ground biomass using machine learning approaches with InSAR and LiDAR data in tropical peat swamp forest of Brunei Darussalam. iForest 17: 172-179. - doi: 10.3832/ifor4434-017 [online 2024-06-17]

Communicated by: Carlotta Ferrara

sensed data types can be used for forest AGB estimation, including active sensors such as LiDAR and InSAR, which can penetrate the vegetation canopy, thus representing valuable tools for AGB assessments.

AGB can be estimated using parametric and nonparametric methods. Allometric models are widely used to build AGB models, though they cannot completely capture the complex heterogeneous landscapes in which multiple environmental variables impact the spatial distribution of AGB (Dai et al. 2021). Many different prediction models other than allometric models are available to measure AGB, including spatial statistical and ML models. LR model is widely reported in the literature as suited for AGB estimation (Jiang et al. 2020, Su et al. 2020). LR assumes a linear relationship between AGB and remote sensing predictive variables. AGB may not be linearly related to remote sensing variables, so AGB estimation using LR has problems of underestimation/overestimation for larger and smaller AGB values (Lu et al. 2016). To solve this problem much research has shifted to investigating the use of nonparametric algorithms. With advances in the modeling of non-linear systems and the development of computer science techniques, ML methods have become widespread. ML algorithms are an alternative to parametric methods in handling heterogeneous data, such as describing tropical forests (Marchesan et al. 2020). Due to the complex relationships for AGB prediction, nonparametric ML approaches represent potentially helpful methods to predict AGB (Mangla et al. 2016, Ghosh & Behera 2018, Marchesan et al. 2020, Santi et al. 2020, Zhang et al. 2020, Potapov et al. 2021). LR model is straightforward and less experienced users may prefer this parametric method due to the complexity of using ML models (Rocha et al. 2019).

Selecting suitable variables from satellite and in situ data is essential for AGB modeling. Several studies used various parameters, such as vegetation indices, texture indices, multispectral bands, LiDAR metrics, topographic variables, or a combination, to estimate AGB by ML algorithms. For instance, Ghosh & Behera (2018), in a study conducted in a tropical forest in India, considered SAR, texture and vegetation indices to estimate AGB. Chen et al. (2018) used ML algorithms to estimate biomass using texture characteristics, vegetation indices, multispectral bands, and vegetation biophysical variables. They found that vegetation biophysical variables and texture characteristics were the most suitable predictors. Dang et al. (2019) applied a combination of 11 spectral and texture variables to estimate the AGB of Yok Don National Park in Vietnam. Kappas (2020) considered 52 variables, including vegetation indices, topography and textures, to predict AGB using the RF algorithm. He found that combining topography and vegetation indices presents the highest prediction score. Chen et al. (2019) used multispectral reflectance, vegetation indices, vegetation biophysical, topographical indicators and texture variables as predictors for AGB estimation. The results showed that multispectral variables were the best predictors, and topographic variables were more important than texture features. Further, LiDARderived metrics were used by Marchesan et al. (2020) and Rex et al. (2020) to estimate AGB in tropical forest areas.

A variety of ML algorithms have been used to predict AGB, including random forest (RF - Mangla et al. 2016, Ghosh & Behera 2018, Kappas 2020), artificial neural network (ANN - Chen et al. 2018, Santi et al. 2020), maximum entropy (MaxEnt Saatchi et al. 2011, Zhang et al. 2020), Gaussian process (GP – Vafaei et al. 2018, Pham et al. 2020), multivariate adaptive regression splines (MARS - Baloloy et al. 2018, Zhang et al. 2020), K-nearest neighbour (KNN - Rex et al. 2020, Zhang et al. 2022) and support vector machine (SVM -Gleason & Im 2012, Chen et al. 2018, Dai et al. 2021). The most popular nonparametric methods include ANN, RF, and SVM, which have been used in many studies to estimate canopy height and biomass by integrating remotely sensed and in situ data (Chen et al. 2019, Dang et al. 2019, López-Serrano et al. 2020, Rex et al. 2020, Santi et al. 2020, Su et al. 2020, Malhi et al. 2022). However, the predicting power of these methods have not yet been explored in the context of Brunei Darussalam's tropical forests, including the country's pristine Badas peatland forest. This study is the first to use ML methods to estimate the AGB of the study area. We evaluated the performance of an LR model and three ML algorithms, RF, ANN and SVM, for extrapolating AGB by exploring the information content of the InSAR and LiDAR data. The approach of this study brings new insights to evaluate the best method for estimating AGB at a large scale using free DEM data in the peat swamp tropical forests of Brunei.

Materials and methods

Area of interest

The Badas wet tropical peat swamp forest was selected as the area of interest (AOI). The geographic coordinates of the AOI are 114° 15′ 06″ E, 04° 27′ 02″ N (SW corner) and 114° 25′ 52″ E, 04° 38′ 18″ N (NE corner). Brunei Darussalam, a tiny Islamic Sultanate, is situated northwest of Borneo. The Inter-Tropical Convergence Zone (ITCZ) fluctuation strongly affects Brunei's climate, producing Northeast and Southwest monsoons. Annual rainfall is 25004500 mm, with the driest period occurring in February-March (Becek et al. 2022). The AOI covers a large part of the Badas peat swamp forest located in the western section of Brunei Darussalam (Fig. 1a). It borders to the South and West the Belait River and a highway to the North, serving Seria and Kuala Belait's coastal oil towns. The interior of Badas forest is not accessible by road or footpath. The Badas peatland formed following the Holocene sea-level drop of approx. 5,000 years ago. It has a convex or domed shape. The peat in Badas swamps is described as a sapric type, composed of slightly decomposed or partially decomposed forest debris, and displaying very dark grey to dark reddish-brown hues (Becek et al. 2022). The forest's dominant species is the "Alan" (Shorea albida, Dipterocarpaceae). The surface area of the AOI is approximately 10,000 ha. The terrain is flat without any significant relief features. The approximate elevation of AOI is 5-10 m a.s.l.

Data

LiDAR data

The LiDAR survey was conducted using the Riegel Lidar Scanner LMS-Q68oi at 15 pts m² on 18 March 2018 from 550 m above the terrain. The height is referenced to the mean sea level datum. The AOI was partially covered by the LiDAR survey, as depicted in Fig. 1b. In addition, a LiDAR-derived digital terrain model (DTM) was available for the entire AOI at a spatial resolution of 20 m. The DTM was resampled to match the SRTM (30 m) resolution. This DTM was generated from a LiDAR survey of the country conducted in 2009. The approximate vertical accuracy of the DTM is 0.3 m.

SRTM data

The Shuttle Radar Topography Mission (SRTM) elevation data was generated using the InSAR method from the data captured using the C-band (wavelength = 5.6 cm) SAR instrument flown onboard the NASA Space Shuttle Endeavour in February 2000. SRTM covers the Earth's land surface



Fig. 1 - Location of the Area of Interest (AOI). Source: (a) Becek et al. (2022); (b) forest inventory map (Anderson & Marsden 1984) of the AOI including reference plots (blue squares) and LiDAR coverage (blue grid). from latitude 56° S to latitude 60° N. Hence, SRTM covers 80% of the global land area. In 2014, v3 SRTM data was released (Hu et al. 2017, Grohmann 2018). The spatial resolution of the SRTM is 1-arcsecond (~30 m). The vertical accuracy of the SRTM data in flat terrain is approx. 2 m (one sigma). A peculiarity of the SRTM data (as any InSARderived elevation data) is the elevation bias over forested areas. As it was found, the magnitude of the elevation bias depends on the forest/biomass density, microwave band used, and forest canopy height. The SRTM elevation bias is explored here as it contains the biomass signal of interest.

Forest inventory data

To date, information on the vegetation composition and condition in the Badas peat swamp forest was collected during the National Forest Inventory (NFI), conducted in 1980-1983, using aerial photography at 1:25.000 scale and a limited number of in situ field measurements. This study used a set of 15 field plots 200 × 200 m each. At every plot, all trees with a diameter at breast height (DBH, 1.3 m) of \ge 40 cm were recorded. There is no NFI information on the timber volume of trees with a reference diameter of < 40 cm. The NFI does not provide data on biomass. The "AGB from NFI" column in Tab. 1 indicates the AGB calculated from the NFI (Becek et al. 2022). For plots of interest, the AGB was calculated by extracting relevant forest inventory data such as the number of trees, timber density, total height and merchantable timber volume data. The essential details of the plots are shown in Tab. 1. In addition, Fig. 1b shows a copy of the forest inventory map (Anderson & Marsden 1984) including the reference plot locations.

Method

A quick and cost-effective method using INSAR data together with a small sample of LiDAR data was developed to estimate and map AGB in Brunei Darussalam. To do that, a LR model and three ML models, including RF, ANN and SVM, were adopted to estimate the canopy height. ML models were trained on the training samples taken from the LiDAR data and validated using *in situ* forest inventory plots. The workflow of the study is shown in Fig. 2.

Creating and estimating canopy height model

A digital surface model (DSM) at a spatial resolution of 30 m was developed from the LiDAR data. The LiDAR DSM was created from the highest point within each resolution cell. By subtracting DSM from DTM, a canopy height model (CHM) was obtained. Before the subtraction, the DTM was resampled to match the resolution of the In-SAR data. Since the first return, LiDAR data for the production of a DSM were available for a part of the AOI, only an extrapolation was used to estimate the LiDAR data using an LR

Tab. 1 - Selected reference plots data from (Anderson & Marsden 1984). Coordinates are in WGS84 and projected to UTM 50N. (*): 3.4, 3.3 and 3.2/3 indicate the forest types of Padang Alan relatives, Alan Bunga forest, and Transitional between Alan and Alan Bunga forests, respectively.

103.420981550652232.0086.0727.3133.420782050550028.0086.0560.0143.2/320068950575323.2043.0552.1153.420978250454424.7086.0483.0163.320388150461133.3071.4803.2183.2/321080250337222.4743.0581.7
13 3.4 207820 505500 28.00 86.0 560.0 14 3.2/3 200689 505753 23.20 43.0 552.1 15 3.4 209782 504544 24.70 86.0 483.0 16 3.3 203881 504611 33.30 71.4 803.2 18 3.2/3 210802 503372 22.47 43.0 581.7
14 3.2/3 200689 505753 23.20 43.0 552.1 15 3.4 209782 504544 24.70 86.0 483.0 16 3.3 203881 504611 33.30 71.4 803.2 18 3.2/3 210802 503372 22.47 43.0 581.7
15 3.4 209782 504544 24.70 86.0 483.0 16 3.3 203881 504611 33.30 71.4 803.2 18 3.2/3 210802 503372 22.47 43.0 581.7
16 3.3 203881 504611 33.30 71.4 803.2 18 3.2/3 210802 503372 22.47 43.0 581.7
18 3.2/3 210802 503372 22.47 43.0 581.7
19 3.4 204870 503689 20.00 86.0 482.3
23 3.2/3 208809 502549 20.80 43.0 447.3
27 3.2/3 207837 501577 24.00 43.0 491.8
33 3.4 206757 499397 32.00 86.0 895.3
34 3.3 201902 499465 30.00 71.4 715.5
37 3.3 208917 498391 30.00 71.4 728.7
39 3.3 201785 497553 36.00 71.4 848.4
40 3.3 206145 496633 27.00 71.4 523.9
45 3.3 202965 494269 17.00 71.4 380.8

model and three ML models, including RF, ANN and SVM. To do that, 500 samples were randomly selected in the area covered by the LiDAR. LiDAR CHM values were extracted for the samples. Then, the models were adopted to estimate the canopy height for AOI. Seventy percent of the Li-DAR CHM, along with InSAR height and relative terrain height extracted for the corresponding LiDAR CHM, were used to train the models, and 30% of the LiDAR CHM was used to validate the models.

AGB can be estimated using allometric

equations involving tree height and DBH.

The allometric equations are of the power

AGB Estimation

function type (eqn. 1):

$$y = a x^b \tag{1}$$

where y (m) is the tree height, x (cm) is the DBH, a = 1.67 and b = 0.7259 are parameters valid for *Shorea albida* determined by *in situ* measurements.

Eqn. 1 can be reformulated to extract DBH as a dependent variable and tree height as the explanatory variable. Hence, the relationship between ABG and tree height (Chave et al. 2014, Becek et al. 2022) can be described as follows (eqn. 2):

$$y = 0.000112 \cdot \exp\left(\frac{\ln(x) - 0.513}{0.726}\right)^{2.371}$$
 (2)

where y is AGB (Mg) and x is the tree height (m).



Fig. 2 - Workflow of the study.

Linear regression (LR)

LR is one of the most widely used parametric modeling approaches to establish a linear relationship between the dependent variable and predictive variables. Forest canopy height was used as the dependent variable, and InSAR height and distance from the peat dome center were used as the independent variables. In this study, stepwise LR was used to develop the canopy height estimation model. Stepwise regression can determine the inclusion or exclusion of variables based on test statistics of estimated coefficients through a series of tests (t-tests or F-tests – Jiang et al. 2020).

Machine learning algorithms

Machine learning is an artificial intelligence application trained by experience without any programming. ML approaches are based on powerful regression techniques to solve complex and non-linear problems. Furthermore, ML algorithms are not dependent on data distribution. Thus, it can seamlessly integrate data from different sources (Ghosh & Behera 2018). ML is generally categorized into supervised and unsupervised learning. Supervised learning consists of historical training data to make predictions on the data. At the same time, unsupervised learning uses unlabeled data and requires prior human intervention to label data correctly. This study employed three ML algorithms, namely RF, SVM, and ANN, to predict AGB. The algorithms were modeled in the software package STATIS-TICA™ (StatSoft GmbH, Hamburg, Germany).

RF is an ensemble learning algorithm that can be utilized for both classification and regression problems. It combines decision trees on various subsets of the relevant dataset. Each tree depends on the values of a random vector sampled independently and with the same distribution. At each node of the tree, the split is determined by a set of predictor variables that are randomly selected. In the regression trees, the significance of each node is determined by employing input data to evaluate which variable in that node ideally characterizes the remaining observations. If the error is reduced by splitting a single rule into multiple rules, the regression tree grows with more rules. When the lowest error against input data is obtained, tree growth is stopped, and all the trees' predictions are aggregated; new information is predicted (Guo et al. 2017, Ghosh & Behera 2018). The number of tree parameters significantly affects the performance of the RF algorithm, so it should be chosen carefully (Vafaei et al. 2018). The number of tree parameters was optimized by comparing the results obtained from different tree numbers. We evaluated 100, 200, 300, 400, 500, 1000 and 1500 number of trees. The independent variables that predict CHM were the SRTM DEM and distance from the peat dome center. Relative terrain height (RTH) was used as a distance function from the peat dome's center. RTH was obtained by subtracting the lowest height in DTM from any other values.

Neural networks, also known as artificial neural networks (ANN), comprise a layered structure, including an input layer, one or more hidden layers, and an output layer. Numerous hidden layers can be applied to formulate a more complex model to fit a challenging problem. Mathematical functions called neurons operate in all layers. Neural networks rely on training data that learns using interconnected neurons and improves their accuracy over time. ANN algorithm uses the back-propagation (BP) learning rule to minimize the mean square error (MSE) between the actual output and the desired value (Chen et al. 2019). The performance of the ANN model depends on connection weights between layers. These weights are adjusted based on the BP learning rule during the training phase (Were et al. 2015). MultiLayer Perceptron (MLP), which is a powerful data-driven modeling tool in ANNs, is widely used for forest monitoring, environmental modeling and biomass prediction. To construct the MLP model it is required to find the number of hidden layers and hidden neurons in each layer, and weights. In most literature, MLP with one hidden layer has been considered a universal approach with strong predictability for nonlinear function (Ncibi et al. 2017). Therefore, MLP with one hidden layer was adopted in this study. In addition, the optimum MLP model was determined by varying the number of neurons in the hidden layer (1-10) versus the root means square error value. The activation functions of identity, logistic, exponential and tanh were used. The Broyden-Fletcher-Goldfarb-Shanno (BFGS) algorithm was used as the training algorithm to solve the nonlinear optimization during the ANN modeling process. As known, there is no need to use any initial parameter in BFGS (Brandić et al. 2023).

Support vector machines (SVM) is a supervised nonparametric ML algorithm that can be used for regression and classification. SVM is a kernel-based algorithm that transforms low-dimensional data to a higher dimension using a non-linear kernel function to minimize the complexity of the model and training error (Zhang et al. 2020). As a result, SVM can reach high accuracy, even when training data is small (Santi et al. 2020). The training process for forest AGB estimation aims to construct an SVM function as follows (eqn. 3):

$$AGB = \sum_{i=1}^{n} \alpha_i k(x_i; x) + b$$
(3)

where α represents the Lagrange multiplier, $k(x_i; x)$ is the kernel function, x_i is the training vector, and b indicates the bias term in the regression.

Selecting a kernel function with suitable parameters is crucial to obtaining successful results from the model (Vafaei et al. 2018). This study employed SVM with radial basis function (RBF) kernel function to predict CHM. The RBF kernel was selected as it has a few parameters that need to be defined and is effective in estimating forest parameters (Gleason & Im 2012). A sensitivity analysis of model parameters was performed to optimize model performance. Predictive variables for the model were selected the same way they were considered for the RF and ANN models.

Model assessment

The LR model and ML techniques, including RF, ANN and SVM models, were validated using 15 forest inventory plots. The coefficient of determination (R²), mean absolute error (MAE), and root mean square error (RMSE) were used to compare the performance of the models in forest AGB estimation. In modeling, using these statistical criteria is common to measure the difference between observed and predicted values. The higher R² and lower RMSE and MAE values show a better regression model. R², RMSE and MAE are estimated using the following equations (Lee et al. 2018, Vafaei et al. 2018, López-Serrano et al. 2020 - eqn. 4, eqn. 5, eqn. 6):

$$R^{2} = 1 - \frac{\sum_{i=1}^{n} (y_{i} - \hat{y}_{i})^{2}}{\sum_{i=1}^{n} (y_{i} - \bar{y})^{2}}$$
(4)

$$RMSE = \sqrt{\sum_{i=1}^{n} \frac{(\hat{y}_i - y_i)^2}{n}}$$
(5)

$$MAE = \frac{\sum_{i=1}^{n} |\hat{y}_i - y_i|}{n} \tag{6}$$

where y_i and \hat{y}_i indicate the observed and predicted AGB for the *i*-th plot, respectively, \bar{y} represents the observed mean value of biomass and *n* is the number of validation plots.

Results

Modeling and evaluation of AGB

The CHM was created for the LiDAR and the SRTM by subtracting the DTM from the corresponding DSM. Then, using the RF, ANN, SVM and LR models, LiDAR CHM was estimated for the whole of AOI. For each algorithm, 70% (350 samples) of random sampling data was used to train the model. and 30% (150 samples) was used to validate the model. For all models, the input variables were the InSAR height and distance from the peat dome's center, whereas the CHM was the output variable. The DTM was used as a function of distance from the center of the peat dome. The relative terrain height was obtained for the AOI by subtracting the lowest height in DTM from any other values. The relative elevation above the dome's edges was approximately 11 m. The maximum elevation was around 17 m. The number of tree parameters for the RF model was optimized by comparing the results obtained from different tree numbers. As a result, the optimum

Tab. 2- Validation results for the CHMmodel.

Model	R ²	RMSE (m)	MAE (m)
RF	0.94	4.47	2.95
ANN	0.96	3.81	2.94
SVM	0.96	3.45	2.65
LR	0.87	6.64	4.92

model accuracy was found with 300 trees. The relative importance of predictive variables in the RF model was 1.0 and 0.25 for InSAR height and RTH, respectively. The optimum model was found with three hidden neurons and logistic activation function for the ANN model. The initial weights of the ANN are randomly assigned, and the interconnection weights are adjusted as the network is developed to minimize prediction error. For the SVM model, the highest model accuracy was obtained with values of capacity = 15 and epsilon = 0.4, resulting in an R² value of 0.96 and an RMSE of 3.45 m. The optimum number of support vectors (164) was obtained from the optimized parameterization of the SVM model.

Validation results of the forest canopy height estimation using the models are shown in Tab. 2. The coefficient of determination between the estimated and target CHM was found to be $R^2 = 0.94$ for the RF model, $R^2 = 0.96$ for the ANN model, $R^2 =$ 0.96 for the SVM model and $R^2 = 0.87$ for the LR model. The lower RMSE and MAE values were found for the SVM model. The ANN model and the RF model followed it. In contrast, the LR model had the lowest performance regarding R^2 , RMSE, and MAE.

Plot	AGB from NFI	Mean canopy	Predicted AGB (Mg ha ⁻¹))
ID	(Mg ha ⁻¹)	height (m)	RF	ANN	SVM	LR
10	727.3	54.35	688.29	684.42	663.46	653.30
13	560.0	53.69	625.79	615.71	599.78	548.12
14	552.1	49.12	392.31	421.32	473.24	384.10
15	483.0	58.61	402.52	415.06	446.42	357.61
16	803.2	53.11	689.20	706.34	740.19	726.03
18	581.7	44.94	376.38	389.71	413.86	368.10
19	482.3	49.08	537.72	520.71	524.23	453.47
23	447.3	55.67	273.63	280.22	332.04	255.06
27	491.8	61.00	301.76	304.46	344.93	294.80
33	895.3	45.73	776.64	755.44	798.80	689.25
34	715.5	44.70	596.08	677.64	665.85	553.86
37	728.7	56.94	713.95	752.70	738.98	667.79
39	848.4	57.19	853.45	878.28	828.41	817.35
40	523.9	45.59	362.64	381.40	426.13	354.91
45	380.8	51.92	469.15	454.03	414.65	442.34

Tab. 3 - Predicted AGB values from the models for selected reference plots.

Estimation of above-ground biomass using machine learning

The results indicated that all models have satisfactory performances using the training data. Therefore, the canopy heights obtained were used for biomass estimation. Forest AGB was estimated using the obtained canopy height, allometric equation and plot information. The results of the forest AGB estimation at the plot level using RF, ANN, SVM and LR models are shown in Tab. 3.

In Fig. 3, which shows a comparison of the calculated AGB from NFI and the predicted AGB values, the numbers from 1 to 15 show plot IDs of 45, 23, 19, 15, 27, 40, 14, 13, 18, 34, 10, 37, 16, 39, and 33 respectively. Fig. 4 shows the correlation of the refer-

ence and modeled AGB by different tech-



The ML algorithms' performances were tested and compared based on the R², RMSE and MAE (Tab. 4). The highest R² value and the lowest RMSE and MAE values were found for the SVM model (R^2 = 0.71, RMSE = 83.65 Mg ha⁻¹, MAE = 74.43 Mg ha⁻¹), followed by the ANN model ($R^2 =$ 0.48, RMSE = 111.21 Mg ha⁻¹, MAE = 95.09 Mg ha⁻¹) and the RF model ($R^2 = 0.36$, RMSE = 122.40 Mg ha⁻¹, MAE = 102.43 Mg ha⁻¹). In contrast to SVM, the LR model had the lowest performance; R², RMSE, and MAE values were 0.21, 137.44 Mg ha-1 and 118.55 Mg ha-1, respectively. Therefore, it was concluded that the SVM model had the best performance in estimating the forest AGB in this study.

The AGB map for the AOI with the model of the best fit, SVM, is shown in Fig. 5. The area with the highest biomass concentra-

Tab. 4 -	Validation	results	of	the	AGB
model.					

Model	R ²	RMSE (Mg ha ⁻¹)	MAE (Mg ha ⁻¹)
RF	0.36	122.40	102.43
ANN	0.48	111.21	95.09
SVM	0.70	83.65	74.43
LR	0.21	137.44	118.55







tion (reaching values of 80 to 145 Mg ha⁻¹) was found in the Alan Bunga (3.3) and Padang Alan (3.4) forest. This area corresponds to the areas with higher canopy height. Lower biomass is observed in the Transitional area.

Discussion

Selection of the appropriate modeling method is one of the most critical steps in studies to predict AGB. In this study, we evaluated the performances of four algorithms, including LR, RF, ANN and SVM, for predicting canopy height and AGB in a tropical forest using remotely sensed data. The SVM model provided the highest prediction accuracy regarding the lowest RMSE and MAE and the highest R² among the models used. The ANN model and the RF model followed it, showing a slight under- or over-estimation of AGB. The LR model displayed the lowest accuracy, underestimating the AGB values. Furthermore, all models displayed significant underestimation of the AGB values for the 3.2/3 forest type (*i.e.*, transitional between Alan and Alan Bunga forests) plots, including 14, 18, 23, and 27, and significant over-



estimation of AGB values for some plots. This may be due to forest degradation, deforestation and forest growth over time in this area.

Although differences in data used and study areas make comparison difficult, this result agrees with the findings of some studies. For instance, the analyses by Gleason & Im 2012, Jachowski et al. 2013, Li et al. 2014, García-Gutiérrez et al. 2015, Chen et al. 2018 and Vafaei et al. 2018 showed that SVM was the best among the different machine learning techniques used, including RF and ANN for AGB estimation. Furthermore, the SVM model has shown high accuracy even when datasets are small (Mangla et al. 2016, Santi et al. 2020). This is because the SVM works on distancebased learning with sample points rather than a mix of categorical and numerical features. Many researchers also report that SVM is often best at dealing with complex regression and classification problems (Gleason & Im 2012, Ghosh & Behera 2018, Malhi et al. 2022). Using RF and SVM models, Fagua et al. (2019) used LiDAR and SAR data to predict canopy height in tropical forests in South America. They achieved an RMSE value of 5.5 m and 6.5 m for the RF and SVM models. Pourshamsi et al. (2018) used the SVM model to estimate tropical forest canopy height with PolInSAR and Li-DAR data fusion. The estimated height showed an RMSE of 7.1 m and an R^2 of 0.81. Lee & Lee (2018), using linear regression and an SBAS algorithm to estimate canopy height based on the L-band SAR, SRTM, Li-DAR and optical data, obtained results of an R² and RMSE 0.56 and 2.68 m, respectively. In another study, combining PolSAR

variables with LiDAR data was used to estimate forest canopy height using four ML algorithms, including RF and SVM models. The results showed high accuracy, with an average RMSE of 10 m between training samples and the models (Pourshamsi et al. 2021). Validation results of the forest canopy height estimation using the models are shown in Tab. 2. RMSE values of 4.47 m, 3.81 m, 3.45 m and 6.64 m were found for RF, ANN, SVM and LR models. Considering the mentioned studies, the results of this study showed that the canopy heights estimated from the models were satisfactory. Therefore, the obtained canopy heights were used to calculate AGB.

The results indicated that the nonparametric ML models could provide a higher canopy height and AGB estimation accuracy compared to parametric models like LR. Montaño et al. (2017) emphasized that ML techniques can replace allometric models and represent a safe and feasible alternative to regression analyses. In this regard, they have several advantages that make ML algorithms an alternative to parametric models. For example, ML is able to handle complex and non-linear relationships between multiple environmental variables and AGB (Dai et al. 2021). Moreover, it uses cross-validation during model development and produces robust models. Also, ML algorithms are preferred when creating the model by several independent variables (Marchesan et al. 2020).

The limitation of this study was the use of the forest inventory data obtained from the NFI. The inventory data used to validate the predicted AGB was compiled from an old data collection due to the limited field data availability for the AOI. This is probably the reason of the high errors in the AGB estimation for some of the plots. The reliability of the results is expected to increase when the acquisition date of the dataset used in AGB verification is close to other datasets, and the method used can be better evaluated. Consequently, future work will be planned based on this assumption.

Regarding the variables used in the models, InSAR height was the most crucial for estimating AGB. Selecting suitable variables from satellite and physical data is essential to map the AGB. Some studies have used various variables such as vegetation indices, texture indices, multispectral bands, LiDAR metrics, topographic variables, or a combination of these to estimate AGB by ML algorithms. Climatic, hydrography and soil variables can also affect AGB, but they are either limited in accuracy or unavailable. Vegetation indices, texture and multispectral variables are not universal predictors of biomass because they tend to saturate at relatively low biomass levels (Karakoc & Karabulut 2019). Besides, these indices tend to change during the year following tree phenology. LiDAR is an expensive technology, and LiDAR data are not always available. Therefore, by eliminating the mentioned variables in this study, InSAR height and distance from peat dome center variables were used as predictors.

Conclusion

This study used four algorithms to model and map forest AGB based on canopy height using LiDAR and InSAR data. An LR model and three ML algorithms were investigated and compared, including RF, ANN, and SVM. The results showed higher accuracy in canopy height and AGB estimation of the ML models compared to the parametric LR model. Among the models used, SVM was the best method to predict AGB in the study area with $R^2 = 0.70$, RMSE = 83.65 Mg ha⁻¹ and MAE = 74.43 Mg ha⁻¹. The predictive variable with greater importance for the modeling was InSAR height. This study demonstrated the potential of ML algorithms in AGB estimation based on canopy height in tropical forests derived from the InSAR-based DEM. This study can provide a reference for selecting suitable predictors and algorithms for AGB modeling in tropical forests.

References

- Anderson J, Marsden J (1984). Brunei forest resources and strategic planning study, Final report: The Forest Resources of Negara Brunei Darussalam. The Government of His Majesty the Sultan and Yang Di Pertuan of Negara Brunei Darussalam, vol. 1, Brunei Darussalam.
- Baloloy AB, Blanco AC, Candido CG, Argamosa RJL, Dumalag JBLC, Dimapilis LLC, Paringit EC (2018). Estimation of mangrove forest aboveground biomass using multispectral bands, vegetation indices and biophysical variables derived from optical satellite imageries: rapideye, planetscope and sentinel-2. ISPRS Annals of Photogrammetry, Remote Sensing and Spatial Information Sciences 4 (3): 29-36. - doi: 10.5194/ isprs-annals-IV-3-29-2018
- Becek K, Yong GYV, Sukri RS, Lai DTC (2022). Shorea albida Sym. does not regenerate in the Badas peat swamp forest, Brunei Darussalam -An assessment using remote sensing technology. Forest Ecology and Management 504: 119816. - doi: 10.1016/j.foreco.2021.119816
- Brandić I, Pezo L, Bilandzija N, Peter A, Surić J, Voća N (2023). Comparison of different machine learning models for modelling the higher heating value of biomass. Mathematics 11 (9): 2098. - doi: 10.3390/math11092098
- Chave J, Réjou-Méchain M, Búrquez A, Chidumayo E, Colgan MS, Delitti WB, Duque A, Eid T, Fearnside PM, Goodman RC (2014). Improved allometric models to estimate the aboveground biomass of tropical trees. Global Change Biology 20 (10): 3177-3190. - doi: 10.1111/ gcb.12629
- Chen L, Ren C, Zhang B, Wang Z, Xi Y (2018). Estimation of forest above-ground biomass by geographically weighted regression and machine learning with Sentinel imagery. Forests 9 (10): 582. - doi: 10.3390/f9100582
- Chen L, Wang Y, Ren C, Zhang B, Wang Z (2019). Optimal combination of predictors and algorithms for forest above-ground biomass map-

ping from sentinel and SRTM data. Remote Sensing 11 (4): 414. - doi: 10.3390/rs11040414

Dai S, Zheng X, Gao L, Xu C, Zuo S, Chen Q, Wei X, Ren Y (2021). Improving plot-level model of forest biomass: a combined approach using machine learning with spatial statistics. Forests 12(12): 1663. - doi: 10.3390/f12121663

- Dang ATN, Nandy S, Srinet R, Luong NV, Ghosh S, Kumar AS (2019). Forest above-ground biomass estimation using machine learning regression algorithm in Yok Don National Park, Vietnam. Ecological Informatics 50: 24-32. - doi: 10.1016/j.ecoinf.2018.12.010
- Fagua JC, Jantz P, Rodriguez-Buritica S, Duncanson L, Goetz SJ (2019). Integrating LiDAR, multispectral and SAR data to estimate and map canopy height in tropical forests. Remote Sensing 11 (22): 2697. - doi: 10.3390/rs11222697
- García-Gutiérrez J, Martínez-Alvarez F, Troncoso A, Riquelme JC (2015). A comparison of machine learning regression techniques for LiDARderived estimation of forest variables. Neurocomputing 167: 24-31. - doi: 10.1016/j.neucom.20 14.09.091
- Ghosh SM, Behera M (2018). Above-ground biomass estimation using multi-sensor data synergy and machine learning algorithms in a dense tropical forest. Applied Geography 96: 29-40. - doi: 10.1016/j.apgeog.2018.05.011
- Gleason CJ, Im J (2012). Forest biomass estimation from airborne LiDAR data using machine learning approaches. Remote Sensing of Environment 125: 80-91. - doi: 10.1016/j.rse.2012.07. 006
- Grohmann CH (2018). Evaluation of TanDEM-X DEMs on selected Brazilian sites: Comparison with SRTM, ASTER GDEM and ALOS AW3D30. Remote Sensing of Environment 212: 121-133. doi: 10.1016/j.rse.2018.04.043
- Guo Y, Li Z, Chen E, Yu X, He Q (2017). Application of RF-KNN optimal technology for the estimation of forest above-ground biomass using multisource remote sensing data. DEStech Transactions on Computer Science and Engineering, pp. 67-76. [online] URL: http://www.re searchgate.net/publication/326488408
- Hunter M, Keller M, Victoria D, Morton D (2013). Tree height and tropical forest biomass estimation. Biogeosciences 10 (12): 8385-8399. - doi: 10.5194/bg-10-8385-2013
- Hu Z, Peng J, Hou Y, Shan J (2017). Evaluation of recently released open global digital elevation models of Hubei, China. Remote Sensing 9 (3): 262. - doi: 10.3390/rs9030262
- Jachowski NR, Quak MS, Friess DA, Duangnamon D, Webb EL, Ziegler AD (2013). Mangrove biomass estimation in Southwest Thailand using machine learning. Applied Geography 45: 311-321. - doi: 10.1016/j.apgeog.2013.09.024
- Jiang X, Li G, Lu D, Chen E, Wei X (2020). Stratification-based forest aboveground biomass estimation in a subtropical region using airborne lidar data. Remote Sensing 12 (7): 1101. - doi: 10.3390/rs12071101
- Kappas M (2020). Estimating the above-ground biomass of an evergreen broadleaf forest in Xuan Lien Nature Reserve, Thanh Hoa, Vietnam, using SPOT-6 data and the random forest algorithm. International Journal of Forestry Research 2020: 4216160. - doi: 10.1155/2020/4216 160

- Karakoc A, Karabulut M (2019). Ratio-based vegetation indices for biomass estimation depending on grassland characteristics. Turkish Journal of Botany 43 (5): 619-633. - doi: 10.3906/bot-19 02-50
- Lee J, Im J, Kim K, Quackenbush LJ (2018). Machine learning approaches for estimating forest stand height using plot-based observations and airborne LiDAR data. Forests 9 (5): 268. - doi: 10.3390/f9050268
- Lee WJ, Lee CW (2018). Forest canopy height estimation using multiplatform remote sensing dataset. Journal of Sensors 2018: 1593129. - doi: 10.1155/2018/1593129
- Li M, Im J, Quackenbush LJ, Liu T (2014). Forest biomass and carbon stock quantification using airborne LiDAR data: a case study over Huntington Wildlife Forest in the Adirondack Park. IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing 7 (7): 3143-3156. - doi: 10.1109/JSTARS.2014.2304642
- Lu D, Chen Q, Wang G, Liu L, Li G, Moran E (2016). A survey of remote sensing-based aboveground biomass estimation methods in forest ecosystems. International Journal of Digital Earth 9 (1): 63-105. - doi: 10.1080/17538947. 2014.990526
- López-Serrano P, Cárdenas Dominguez J, Corral-Rivas J, Jiménez E, López-Sánchez C, Vega-Nieva D (2020). Modeling of above-ground biomass with Landsat 8 OLI and machine learning in temperate forests. Forests 11 (1): 11. - doi: 10.3390/f11010011
- Malhi RKM, Anand A, Srivastava PK, Chaudhary SK, Pandey MK, Behera MD, Kumar A, Singh P, Kiran GS (2022). Synergistic evaluation of Sentinel 1 and 2 for biomass estimation in a tropical forest of India. Advances in Space Research 69 (4): 1752-1767. - doi: 10.1016/j.asr.2021.03.035
- Mangla R, Kumar S, Nandy S (2016). Random forest regression modeling for forest aboveground biomass estimation using RISAT-1 Pol-SAR and terrestrial LiDAR data. In: Proceedings of the Conference "SPIE Asia-Pacific Remote Sensing - Lidar Remote Sensing for Environmental Monitoring XV". New Delhi (India) 4-7 Apr 2016. International Society for Optics and Photonics, vol. 9879, pp. 98790Q. - doi: 10.1117/12.2227380
- Marchesan J, Alba E, Schuh MS, Favarin JAS, Pereira RS (2020). Above-ground biomass estimation in a tropical forest with selective log-

ging using Random Forest and LiDAR data. Floresta 50 (4): 1873-1882. - doi: 10.5380/rf.v50i4. 66589

Montaño RANR, Sanquetta CR, Wojciechowski J, Mattar E, Corte APD, Todt E (2017). Artificial intelligence models to estimate biomass of tropical forest trees. Polibits 56: 29-37. [online] URL: https://polibits.cidetec.ipn.mx/ojs/index.php/po libits/article/download/3751/3069

Ncibi K, Sadraoui T, Faycel M, Djenina A (2017). A multilayer perceptron artificial neural networks based a preprocessing and hybrid optimization task for data mining and classification. International Journal of Economics, Finance and Management Sciences 5 (1): 12-21. - doi: 10.12691/ije fm-5-1-3

- Pham TD, Le NN, Ha NT, Nguyen LV, Xia J, Yokoya N, To TT, Trinh HX, Kieu LQ, Takeuchi W (2020). Estimating mangrove above-ground biomass using extreme gradient boosting decision trees algorithm with fused sentinel-2 and ALOS-2 PALSAR-2 data in Can Gio biosphere reserve, Vietnam. Remote Sensing 12(5): 777. doi: 10.3390/rs12050777
- Potapov P, Li X, Hernandez-Serna A, Tyukavina A, Hansen MC, Kommareddy A, Pickens A, Turubanova S, Tang H, Silva CE (2021). Mapping global forest canopy height through integration of GEDI and Landsat data. Remote Sensing of Environment 253: 112165. - doi: 10.1016/j.rse. 2020.112165
- Pourshamsi M, Garcia M, Lavalle M, Balzter H (2018). A machine-learning approach to PolIn-SAR and LiDAR data fusion for improved tropical forest canopy height estimation using NASA AfriSAR Campaign data. IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing 11 (10): 3453-3463. - doi: 10.1109/JSTARS.2018.2868119
- Pourshamsi M, Xia J, Yokoya N, Garcia M, Lavalle M, Pottier E, Balzter H (2021). Tropical forest canopy height estimation from combined polarimetric SAR and LiDAR using machine-learning. ISPRS Journal of Photogrammetry and Remote Sensing 172: 79-94. - doi: 10.1016/j.isprsjp rs.2020.11.008
- Rex FE, Silva CA, Dalla Corte AP, Klauberg C, Mohan M, Cardil A, Silva Sd V, Almeida Ad DR, Garcia M, Broadbent EN (2020). Comparison of statistical modeling approaches for estimating tropical forest above-ground biomass stock and reporting their changes in low-intensity

logging areas using multi-temporal LiDAR data. Remote Sensing 12 (9): 1498. - doi: 10.3390/ rs12091498

- Rocha MG, Barros FMM, Oliveira SR, Amaral LR (2019). Biometric characteristics and canopy reflectance association for early-stage sugarcane biomass prediction. Scientia Agricola 76: 274-280. - doi: 10.1590/1678-992X-2017-0301
- Saatchi SS, Harris NL, Brown S, Lefsky M, Mitchard ET, Salas W, Zutta BR, Buermann W, Lewis SL, Hagen S (2011). Benchmark map of forest carbon stocks in tropical regions across three continents. Proceedings of the National Academy of Sciences USA 108 (24): 9899-9904. - doi: 10.1073/pnas.1019576108
- Santi E, Paloscia S, Pettinato S, Cuozzo G, Padovano A, Notarnicola C, Albinet C (2020). Machine-learning applications for the retrieval of forest biomass from airborne P-Band SAR data. Remote Sensing 12 (5): 804. - doi: 10.3390/rs120 50804
- Su H, Shen W, Wang J, Ali A, Li M (2020). Machine learning and geostatistical approaches for estimating above-ground biomass in Chinese subtropical forests. Forest Ecosystems 7 (1): 1-20. - doi: 10.1186/s40663-020-00276-7
- Vafaei S, Soosani J, Adeli K, Fadaei H, Naghavi H, Pham TD, Tien Bui D (2018). Improving accuracy estimation of forest above-ground biomass based on incorporation of ALOS-2 PALSAR-2 and Sentinel-2A imagery and machine learning: A case study of the Hyrcanian forest area (Iran). Remote Sensing 10 (2): 172. - doi: 10.3390 /rs10020172
- Were K, Bui DT, Dick OB, Singh BR (2015). A comparative assessment of support vector regression, artificial neural networks, and random forests for predicting and mapping soil organic carbon stocks across an Afromontane landscape. Ecological Indicators 52: 394-403. - doi: 10.1016/j.ecolind.2014.12.028
- Zhang W, Zhao L, Li Y, Shi J, Yan M, Ji Y (2022). Forest above-ground biomass inversion using optical and SAR images based on a multi-step feature optimised inversion model. Remote Sensing 14 (7): 1608. - doi: 10.3390/rs14071608
- Zhang Y, Ma J, Liang S, Li X, Li M (2020). An evaluation of eight machine learning regression algorithms for forest above-ground biomass estimation from multiple satellite data products. Remote Sensing 12 (24): 4015. - doi: 10.3390/ rs12244015