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## Green oriented urban development for urban ecosystem services provision in a medium sized city in southern Italy

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In southern Italy many cities are characterized by a lack of public urban green-spaces. Non-urbanized areas in these cities are suffering from surrounding urbanization pressures. These areas still provide important ecosystem services even if they are limited in size, highly fragmented and often neglected by local planning. New planning strategies are needed in order to protect and enhance the provision of ecosystem services delivered by existing Non-Urbanized areas, but municipalities usually have limited funds for acquiring public green areas via land expropriations. At the same time, requests for new urban development are still considerable. Consequently, it is not realistic to propose strategies for green areas that do not include new developments. The paper illustrates a strategy for a Green Oriented Urban Development (GOUD) that has been applied for the Master Plan of Catania, a medium sized city in Sicily (southern Italy). The strategy includes a limited amount of developments as well as new greenspaces in selected areas (Resource Zones), through the transfer of property and development rights between the municipality and developers. The strategy will allow to enhance the provision of ecosystem services, especially cultural services (thanks to a dramatic increase of accessibility to new greenspaces), regulating services (through climate regulation by new green land covers) and provisioning services such as urban agricultural products. Results show that it is possible to improve urban ecosystem services creating a more liveable and healthy urban environment at reduced cost for the municipal administrations.

**Keywords:** Urban Development, Ecosystem Services, Urban Planning

### Introduction

The ecosystem service concept is frequently discussed with regard to air, land and water resources in rural and natural landscapes (Millennium Ecosystem Assessment 2005). The impact of cities on the environment has been considered more and more in recent years. The first studies on Urban Ecosystem Services (UES) date back to the mid-1990s (Cairns & Palmer 1995) but they had spread worldwide by the end of the last century (Bolund & Hunhammar 1999). According to a recent review by Hubacek & Kronenberg

(2013), different perspectives on the value of UES have been pointed out during the last decade, including economic, socio-ecological, psychological, cultural and spiritual perspectives.

UES include, among others, purification of air and water, mitigation of floods and droughts, detoxification and decomposition of waste, generation and renewal of soil fertility, regulation of climate, moderation of temperature extremes, provision of aesthetic beauty and intellectual stimulation (Bolund & Hunhammar 1999, Gómez-Baggethun &

Barton 2013). The relationship between ecosystems and urban settlements is complex and multifaceted. In urban contexts, the diversity of land-covers results in heterogeneous typologies of Non-Urbanised Areas (NUAs - La Rosa 2012). These areas are outdoor places with significant amounts of vegetation, mainly semi-natural areas that, especially in urban contexts, represent the last remnants of nature. They are often part of the agriculture and green infrastructure that produce ecosystem services (La Greca et al. 2011) and can have different physical features, ecological and social functions. They feature a variety of urban ecosystems, depending on geographical regions where they are located. NUAs include urban forests, lawns and parks, cultivated land, abandoned farmlands, grasslands, historic gardens, wetlands, bodies of water, playgrounds, informal green areas, dumps and abandoned backyards. NUAs can contain a significant amount of vegetation, playing a fundamental role in maintaining urban biodiversity. They are often the main providers of UES, contributing to the economic, socio-ecological, psychological, cultural, and spiritual welfare of the community (Hubacek & Kronenberg 2013, La Rosa 2012, La Rosa & Privitera 2013).

NUAs represent an opportunity for implementing sound planning policies aimed at increasing urban greenery and introducing agriculture in cities (La Greca et al. 2011, La Rosa & Privitera 2013). Land-use plans have to foster the enhancement of UES provision. This is a key issue, especially in densely built metropolitan areas, where these services are fundamental for the citizen well being. NUAs protection, obtained *via* land-use planning, can bring considerable environmental, social, economic and cultural benefits deriving from the constitution of a green infrastructure.

Effective planning strategies are needed in order to protect and enhance the provision of UES delivered by existing NUAs, but municipalities have limited funds for acquiring areas to establish new parks or greenspaces. At the same time, requests by the real estate market for new urban development in vacant lots of NUAs are still considerable. Consequently, it is not realistic to propose strategies for green areas that do not include new developments. The challenge is to devise feasible market-based planning tools able to protect existing NUAs and the related UES provision.

This paper discusses the experience of a Master Plan of a medium-size city aimed at enhancing the overall provision of UES. It presents a planning strategy, Green Oriented Urban Development (GOUD), that has been purposely developed and applied in the new Master Plan of Catania (Sicily, southern Ita-

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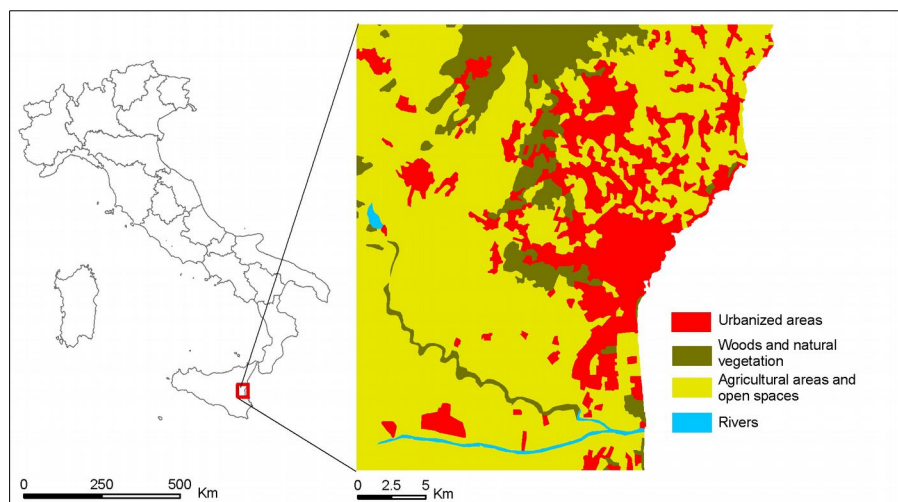


Fig. 1 - The municipality of Catania (Italy).

ly). This strategy is aimed at improving the city’s network of greenspaces, through the transfer of properties and development rights. Landowners and developers will exchange a right to build on concentrated portions of property with the obligation to transfer to the municipality the remaining area, zoned for public use. This will increase the overall provision of public greenspaces and related ecosystem services at reduced costs for the municipality, and at the same time will protect the existing NUAs in the city. The novelty of the strategy lies in the attempt to link the protection of farmlands and other open spaces with the planning of a sustainable urban development. Moreover, the strategy is particularly relevant in the urban context under consideration, where planning has often not included sufficient provision of

public greenspaces.

The paper is structured as follows. Firstly the study area is described and the strategy of Green Oriented Urban Development is presented. The application of the strategy, presenting the proposed GOUD is then discussed in details. Results of the strategy are shown. Finally, discussions on obtained results and involved UES are given.

**The study area**

Catania is the focus of a settlement system that stretches almost continuously along the eastern coast of Sicily (Fig. 1). The main city has 293 458 inhabitants, according to the last national census (2011). It is the tenth largest in Italy and the fourth largest in the southern regions. The municipality is the center of a conurbation forming the largest

metropolitan area in Sicily, characterized by an extensive urban sprawl. The entire settlement is suffering from major problems, especially traffic congestion due to an inadequate road network and lack of an efficient transit system (La Greca et al. 2011).

In the last 40 years, the settlement has expanded beyond the main administrative borders of the city, incorporating existing agricultural and fishing villages into one large metropolitan area. This phenomenon began in the late 1960s and has continued until the first decade of 21<sup>st</sup> century. This frantic building activity wiped out agricultural and natural areas (La Greca et al. 2011). The overall result is a rather heterogeneous aggregate of settlements, both within the main city and the surrounding municipalities.

Today, the main city is still characterized by a shortage of public spaces and services, especially greenspaces. Currently, the public greenspaces are about 2.2 m<sup>2</sup> per capita, much less than the minimum amount stated by the national legislation (9 m<sup>2</sup>). In addition, this reduced amount of green areas is unevenly distributed among the city districts (Tab. 1). In central areas, built since the end of 18<sup>th</sup> century up to 1960s, the amount of greenspaces is negligible. In the rest of the city it varies considerably, being the values per capita heavily influenced by few existing public parks, especially in districts no. 4, 6 and 10.

In addition, the existing public gardens are mainly characterized by a design and localization inspired to formal academic principles of urban design. Accordingly, they are mainly located in the middle of urban squares, completely surrounded by streets. The few recent ones are often conceived as isolated plots surrounded by densely built-up areas or as street setbacks. The result is a highly fragmented distribution of greenspaces where each green patch is segregated from the others.

**The new Master Plan of Catania**

At the end of 2009, the municipality of Catania boosted the procedure for designing and approving a new Master Plan, based on the idea of achieving a more sustainable future for the city. The debate about the new Master Plan was initially centered on the burning issue of rising funds for expropriating areas for public uses, (parks, parkings, and other facilities). In 1998, the City Council approved the Master Plan Guidelines, establishing that the provision of public land has to be based on Transfer of Developments Rights (TDR) concept (see below).

The new Master Plan aims to enhance the overall environmental quality and to reduce the high level of congestion of the transport system. Particularly, it takes into account the extensive debate about the complex relationships among sustainability, urban form and

Tab. 1 - Distribution of existing greenspaces in municipal districts.

District Name	Urban fabric features	Inhabitants	Green areas per capita (m <sup>2</sup> )
1. Centro	18 <sup>th</sup> 19 <sup>th</sup> century central historical district	48 334	1.58
2. Picanello Ognina	20 <sup>th</sup> north-east district	46 966	0.62
3. Borgo Tribunale	Built in late 19 <sup>th</sup> -20 <sup>th</sup> century north of historic centre	44 205	0.54
4. Barriera Canalicchio	Medium low density district, built mainly after wwII	19 921	4.56
5. S. G Galermo	Rural village surrounded by a large social housing scheme built in the 1970s	13 767	0.00
6. Cibali Trappeto	Historical garden city and late 1970s social housing	24 064	4.42
7. Monte Po - Nesima	Late 1960 social housing	10 905	10.07
8. Nesima	Different 1950-60s social housing scheme	32 106	1.76
9. Librino	The largest social housing scheme built in the late 1970s	36 286	0.79
10. Zia Lisa Plaja	Social housing and holiday houses near the seashore	16 904	21.67
Total		293 458	2.22

transport choices. The nexus between transport and urban form has been summarized by Kenworthy (2006) in ten principles. The ones that have been considered as the main foci of the plan proposal were:

- the design of compact, mixed-use urban form that uses land efficiently;
- the key role for the greenspaces as nodes of a green infrastructure and components of climate change adaptation;
- the increased role of transit, walking and cycling infrastructure, with a special emphasis on railways, instead of road infrastructure;
- a key role for sub-centers within the city that will emphasize modes of transport other than automobile.

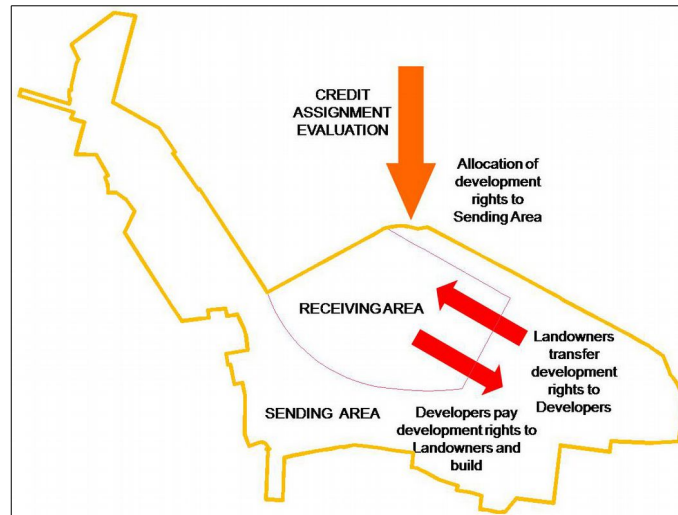
The synthesis of the proposed planning strategy is to enhance the number of public greenspaces and their connection with a green infrastructure (Walmsley 1995, Benedict & McMahon 2006, Ryan & Hansel Walker 2004, Lovell & Taylor 2013). The continuity of existing and new, planned greenspaces can play a crucial role for managing the city problems mentioned above: they can be considered as an integrated and effective way of providing several ecosystem services, including climate regulation, biodiversity protection, spaces for cultural enrichment and new forms of agriculture (Barbarossa et al. 2013, Groenewegen et al. 2006). Public greenspaces represent evapotranspiring and permeable areas contributing to the reduction of urban heat island and absorbing excessive storm waters (Bowler et al. 2010, Haughton & Hunter 2003). They are also safe areas to shelter people after rescue from an earthquake, alternative connectors for pedestrians and cyclists who can move safely and comfortably along tree-shaded routes, a condition that is particularly valuable in hot south-Mediterranean climates (Barbarossa et al. 2013).

The next section present the adopted planning strategy for the new municipal Master Plan, based on a Green Oriented Urban Development (GOUD), that aims at providing new, publicly accessible, greenspaces by allowing a limited amount of development. The Master Plan assigns the status of public greenspaces to a high number of NUAs, located mainly within the dense urban fabric. The municipality will obtain the ownership of these areas by using a transfer of development rights (TDR) programme, as explained in the next sections.

## Method

### *Transfer of development rights (TDR)*

Public acquisition of land has most often been carried out by public policy instruments for the primary purpose of protecting open space (Bengston et al. 2004). In and around urban areas, land acquisition has al-



**Fig. 2** - The Transfer of Development Right scheme.

most exclusively served multiple goals, including the shaping of metropolitan form and the management of urban growth (Ruliffson et al. 2002). Acquisition is the most powerful public policy instrument but it is also the most expensive for local governments (Kelly 1993). In addition, it causes resistance from private landowners. Traditional regulatory approaches such as subdivision exaction, cluster zoning, downzoning or large-lot zoning have also been used for managing urban growth and protecting open space. These kinds of policy instrument, generally, create a reduction in property values for which landowners are not compensated (Gillham 2002).

On the contrary, the issue of economic feasibility for managing urban growth and providing accessible public greenspaces could be addressed through incentive-based approaches. A large number of incentive-based policies have been developed and implemented in recent decades, such as development of impact fees, infill and redevelopment incentives, right-to-farm laws and agricultural districts (Bengston et al. 2004). Several approaches have been developed to protect open spaces through acquisition of development rights severed from land that is near urban areas and threatened by development. These approaches include the Transfer of Development Rights (TDR) and the purchase of development rights or conservation easements. They are based on the idea that ownership of land involves a bundle of rights - such as mineral rights, surface rights, air rights, development rights, etc. - that can be separated (Wiebe et al. 1997). TDR allows the sale and transfer of development rights from a specific parcel of land to other properties. Future use of the original parcel is then protected from development by a permanent conservation easement or deed restriction prohibiting development. A TDR programme defines an area to be protected from development (sending area) and one

where development will be allowed to occur (receiving area). Landowners can transfer the rights to develop one parcel of land to another one. As a consequence, the parcel from which the development rights are being transferred can no longer be developed, or developed only in a limited way (Brabec & Smith 2002). As a result, landowners are compensated for regulatory restrictions that reduce the property values (Porter 1997).

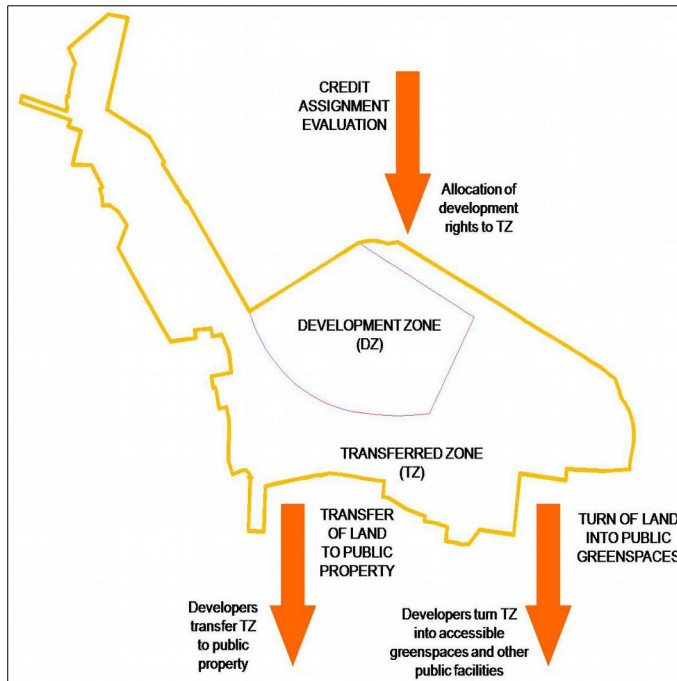
TDR programmes allow more development than the one that might otherwise occur in the receiving site. The acquisition of the development rights is funded not by grants or taxes but by the developers of the receiving sites who acquire greater development potential, and therefore potential profit, by voluntarily using the TDR option. The sending sites are the areas that a community or municipal administration have identified as worthy of permanent preservation, and the receiving sites are the areas that are capable of accommodating additional development (Kaplowitz et al. 2008). TDR offers a planning policy that essentially redirects development rather than simply preventing it and thus recognizes that there are areas where development must be allowed and even encouraged (Millward 2006).

A TDR programme assigns development credits (rights to develop) to selected land parcels (sending zones) as a way to compensate the landowner for the acquisition of their land to public use. Development credits will be allocated to a receiving area that is a portion of the sending one. An example of a scheme of a TDR programme is reported in Fig. 2.

### *Green Oriented Urban Development (GOUD)*

The GOUD strategy is based on the acquisition by the municipality, at reduced costs, of areas zoned for publicly accessible greenspaces. This will happen within selected NUAs, zoned by the previous Master Plan as

**Fig. 3** - The structure of a Resource Zone (RZ): Development Zones (DZ) and Transferred Zones (TZs).



roads, public services and generic greenspaces. These are called in the proposed plan Resource Zones (RZ). RZ are large patches of NUAs included in the urban fabric and have been identified according to the following main criteria:

- their current land-use is abandoned farmland, shrubs or seasonal herbaceous vegetation;
- they are mainly non-built areas with high proximity to other residential areas or public transport nodes;
- within each RZ, the ownership of land must be mainly private;
- they have an appropriate location and shape for defining the city green infrastructure and enhancing the endowment of other key public services.

In the case of the Master Plan of Catania, the development credits are expressed using the Floor Area Ratio (*FAR*) parameter. This parameter is the ratio between the total covered area of all floors in the buildings on a plot and the area of the plot. It is applied to the entire sending zone and represents the capacity of this zone to generate urban development. A credit assignment evaluation process, conducted by a pool of experts, defined the spatial allocation of development credits within the municipality. The evaluation took into account current land uses, market values, accessibility, services endowment, presence of designated areas (environmental or historical value), microclimate and pollution conditions (Stanghellini & Cosmi 2012). The evaluation was carried out for each RZ and provided a value of development credits (*FAR*) for it.

In RZs, landowners have the right to develop housing, retail and offices in designated

portions called development zones. It will be possible to concentrate the credits generated by the entire RZ, which represents the sending area of the general TDR scheme, only in the development zones. In return, developers will transfer the ownership of the remaining part, called the transferred zone, to the municipality (Fig. 3). Transferred zones are designated mainly for public greenspaces, but include other public facilities (schools, roads, parking lots). The sum of the development zones and transferred zones areas is always equal to the area of the entire RZ.

Within each RZ, development and transferred zones have been designed according to the following criteria:

- a high percentage (> 60%) of TZ must be ensured in each RZ;
- within development zones, an appropriate level of permeability (30%) has to be maintained;
- new greenspaces in transferred zones must have a minimum percentage of tree cover (25%) in order to compensate for the negative effects of development allowed;
- the proposed green spaces' layout is mainly linear and connected: they always include cycling and pedestrian lanes to enhance a sustainable use of urban spaces;
- each RZ is characterized by mixed uses (residential, trading, services, offices);
- development zones are located mainly along existing and newly designed roads in order to maintain the prevailing character of the city urban fabric.

The amount of developable area assigned to each development zone also takes into account the limited quantity of existing buildings: a TDR programme provides that existing buildings can be demolished to obtain

an increase in developable floor area up to 100% in the zone where they were located. Accordingly, the Developable Floor Area (*DFA*) for new urban development is defined in each RZ by the following formula (eqn. 1):

$$DFA = DFA1 + DFA2$$

*DFA1* represents the amount of the area that can be developed according to the development credits in the entire RZ. It is expressed by (eqn. 2):

$$DFA1 = A \cdot FAR$$

where *FAR* is the Floor Area Ratio defined by the credit assignment evaluation process for each RZ and *A* is the total area of the RZ.

*DFA2* is the amount of building floor area that can be developed compensating for the demolition of existing buildings, with the increase in floor area above mentioned.

The area of the transferred zone that developers will transfer to the municipality is given by (eqn. 3):

$$TZ = A \cdot TZP$$

where *TZP* is the percentage of the RZ that will be transferred to the municipality and *A* is the total area of the RZ. *TZP* is not a pre-defined parameter, but it is rather the result of an iterative design process. Several design alternatives have been tested for each RZ, following the above mentioned design criteria and the one that satisfied the highest number of criteria was finally chosen. It is intended as a way of controlling the design process by gauging the total amount of public land obtained. Fig. 4 reports two sketches of design alternatives for one of the RZs.

The amount of new development to be allocated to each RZ is concentrated in the development zone and would ensure the economic feasibility for private landowners (buyers and sellers of development credits). Moreover, new developments in the RZs have to comply with a detailed set of planning rules including maximum height, buildings' alignment and permeability of open spaces adjacent to new buildings.

#### Available data

For the implementation of the method, the following geographical data were used. For the definition of Resource Zones, a land-use map was derived from the by Urban Atlas land-use layer (EEA 2010). This layer for the city of Catania had an average scale of detail of 1: 12 000. To update and check the Urban Atlas land-use layer, a visual inspection of high-resolution regional orthophotos (Regione Sicilia 2009) and recent Google Maps images was performed. RZ were then designed based on the existing vector carto-

graphy available from the municipality at a scale of 1:2000. In each RZ, the spatial layout of transferred zones and development zones was then manually based on the previous cartographic sources.

**Results**

The application of the GOUD strategy in the Catania Master Plan resulted in the design of 36 RZs, located within the entire municipality, but mostly concentrated around the city center. Fig. 5 shows the localization of RZs in the municipal area and Tab. 2 summarizes their different features. They have very different sizes and characteristics, from small areas within dense urban fabric (e.g., 1.4, 2.2 - see Tab. 2) to more peripheral and larger ones (2.3, 8.1, 10.2).

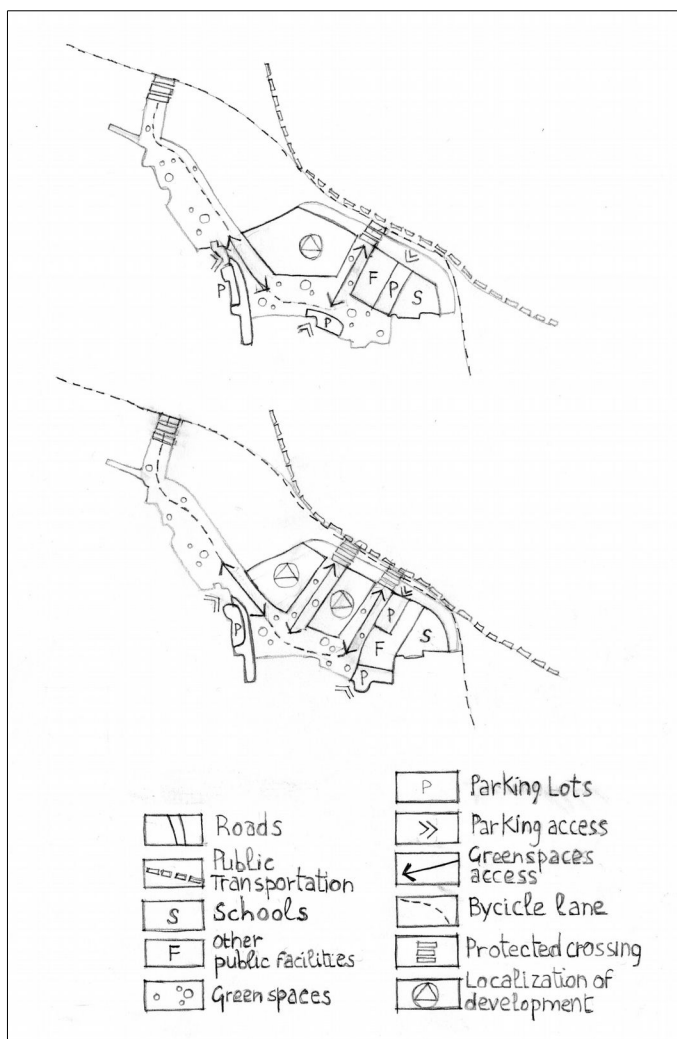
*FAR* varies from 0.02 to 0.6. Lower values are present in the less urbanized RZ (8.1, 4.2) where several Non Urbanised Areas are present: for these RZs only very limited developments are allowed. By contrast, the higher *FAR* values can be found in more central RZs (1.1, 1.3, 1.4, 2.6) in high-density urban fabric.

*TZP* values show a similar trend, varying from 60% for more urbanized RZs to 90% for peri-urban RZs. Transferred Zones total more than 540 ha in area, and are on average 80% of the area covered by the 36 RZs. Accordingly, for each hectare of RZ there will be 0.8 hectare of public land acquired by the municipality including greenspaces, roads and other public facilities. New greenspaces total more than 430 ha (64% of the total TZs area).

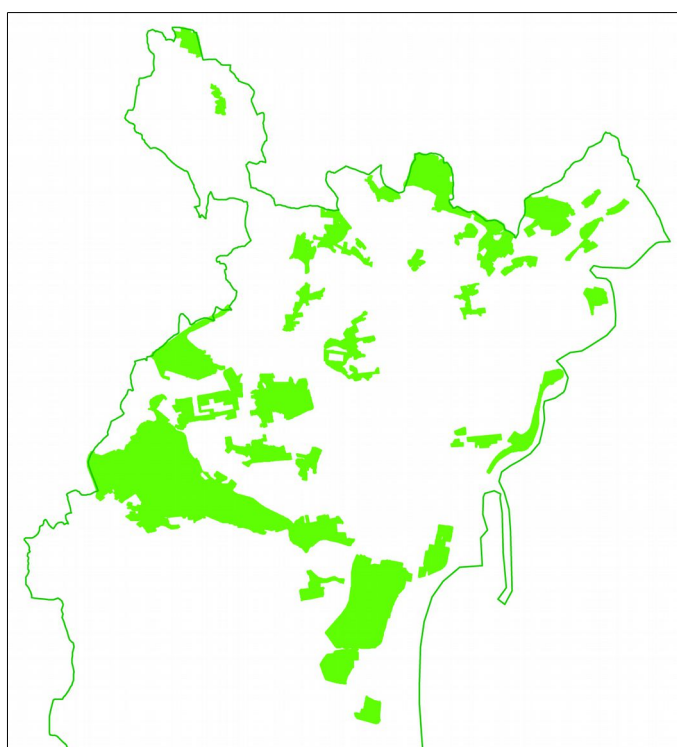
The major result of the application of the GOUD strategy will be the creation of a substantial number of public greenspaces, over a total of 430 ha, differently distributed among all the RZs. These greenspaces represent an average percentage of 64% of the area of all RZs.

A detailed example of an RZ is given in Fig. 6, showing the chosen design alternative and a detailed layout of buildings, public facilities and greenspaces. Relative parameters of the design are also reported in Tab. 3.

This RZ has an area of 58 100 m<sup>2</sup>, with a *FAR* of 0.20. The incentive for demolishing existing residential floor area is 100%. The total Developable Floor Area (*DFA*) is 13 203 m<sup>2</sup>. Within the two designated Development Zones, multistory apartments, buildings for offices and retails up to five stories can be allocated, maintaining a required minimum percentage of permeability of 30%. Developers will compensate the municipality by transferring 75% of entire property (43 162 m<sup>2</sup>) and turning it into public greenspaces (29 164 m<sup>2</sup>), roads (3465 m<sup>2</sup>, area for a school building (3452 m<sup>2</sup>), parking lots (4528 m<sup>2</sup>) and other public facilities and services (3102 m<sup>2</sup>). New public greenspaces represents 50% of Transferred Zones and



**Fig. 4** - An example of sketches for design alternatives of one RZ.



**Fig. 5** - Localization of Resource Zones in the municipality.

**Tab. 2** - List of proposed Resource Zones (RZ) and relative characteristics and parameters.

Resource Zones (RZ)	Development credits – FAR (m <sup>2</sup> m <sup>-2</sup> )	Area (m <sup>2</sup> )	TZP	TZ (m <sup>2</sup> )	Public greenspaces (m <sup>2</sup> )	% of public greenspaces
1.1 Ex Cementificio	0.65	90 592	0.75	67 944	59 982	66
1.2 Via D. Tempio	0.20	70 421	0.70	49 295	45 965	65
1.3 Waterfront	0.60	181 218	0.75	135 913	135 487	75
1.4 Corso Martiri della Libertà	0.60	103 951	-	-	-	-
2.1 Viale Mediterraneo Est	0.40	216 230	0.85	183 796	146 944	68
2.2 Picanello Nord	0.20	43 158	0.80	34 526	15 361	36
2.3 Viale G. Lainò	0.30	286 663	0.75	214 998	154 848	54
2.4 Feudo Grande	0.15	61 278	0.75	45 958	38 396	63
2.5 Via del Roveto	0.20	22 252	0.65	14 464	10 802	49
2.6 Via del Rotolo	0.60	60 113	0.75	45 085	26 755	45
2.7 Viale Africa	0.60	14 391	0.75	10 793	6 484	45
3.1 Via Milo	0.30	179 381	0.85	152 474	100 195	56
3.2 Via G. Vagliasindi	0.30	55 929	0.80	44 743	25 587	46
4.1 Via Due Obelischi	0.20	58 101	0.75	43 576	29 165	50
4.2 Monte S. Paolillo	0.08	318 992	0.85	271 144	249 215	78
4.3 Via Passo Gravina	0.30	166 303	0.80	133 043	94 932	57
4.4 Via Cardinale Nava	0.40	29 360	0.80	23 489	16 293	55
4.5 Viale della Costituzione	0.40	33 930	0.80	27 144	19 908	59
4.6 Viale Mediterraneo Ovest	0.20	34 755	0.75	26 066	17 470	50
5.1 Via Macello	0.15	73 979	0.70	51 786	37 282	50
5.2 Via Calvario	0.15	31 189	0.75	23 392	13 632	44
6.1 Viale Tirreno	0.30	84 059	0.80	67 247	59 675	71
6.2 Via Calvario	0.30	82 758	0.70	57 931	38 347	46
6.3 Orti di Cibali	0.65	356 457	0.60	213 874	138 043	39
7.1 Nesima Nord	0.20	276 052	0.85	234 645	131 284	48
7.2 Nuovo Garibaldi	0.20	79 025	0.75	59 268	41 768	53
7.3 Via S. Pio X	0.20	114 918	0.75	86 188	58 914	51
7.4 Via U. La Malfa	0.20	57 380	0.75	43 035	35 517	62
7.5 Viale F. Fontana	0.20	20 247	0.70	14 173	8 353	41
8.1 Parco Monte Po'	0.02	1 889 631	0.90	1 700 668	1 481 087	78
8.2 Via della Regione	0.15	254 742	0.90	229 268	199 920	78
8.3 Curia	0.30	74 237	0.80	59 389	39 147	53
8.4 Corso Duca d'Aosta	0.30	166 572	0.85	141 586	118 683	71
10.1 Via Zia Lisa	0.15	83 279	0.80	66 623	51 231	62
10.2 Acquicella	0.30	1 020 633	0.75	765 475	609 760	60
10.3 S. Giuseppe La Rena	0.15	81 611	0.85	69 369	51 679	63
TOTAL	-	6 436 887	0.80	5 155 214	4 066 677	64

(average value)

**Tab. 3** - Urban development parameters and incentives for demolishing existing buildings referred to RZ 4.1.

Group	Parameters	Label / Formula	Value	Units
Urban development parameters	Total Area	A	58 100.81	m <sup>2</sup>
	Floor Area Ratio	FAR	0.2	m <sup>2</sup> m <sup>-2</sup>
	Developable Floor Area 1	DFA 1 = A x FAR	11 620.16	m <sup>2</sup>
	Percentage of Residential Area	%RA	30	%
	Developable Residential Floor Area 1	DRFA 1	3 486.05	m <sup>2</sup>
Incentives for demolishing existing buildings	Existing Residential Floor Area	ERFA	582	m <sup>2</sup>
	Existing Non Residential Floor Area	ENRFA	419.78	m <sup>2</sup>
	Increase in residential floor area	PI	100	%
	Increased Residential Floor Area	IRFA = ERFA x PI	582	m <sup>2</sup>
	Developable Floor Area 2	DFA 2 = ERFA + ENRFA + IRFA	1 583.78	m <sup>2</sup>
General parameters	Transferred Zone Percentage	TZP	75	%
	Transferred Zone	TA = A x TZP	43 162.68	m <sup>2</sup>
	Developable Floor Area	DFA = DFA 1 + DFA 2	13 203.94	m <sup>2</sup>
	Type of buildings	multi-storey apartments		
	Maximum number of storeys		5	-
	Percentage of permeability within DZ		30	%
	New land uses for urban greenspaces	Community Supported A farms - allotment gardens		
Tree-cover within TZ/greenspaces		25	%	



**Fig. 6** - Example of a design layout of a Resource Zones (4.1): schematic design (top) and detailed layout of urban development, greenspaces and public facilities (bottom).

their tree coverage has to be higher than 25% of the Transferred Zone area. In order to reduce maintenance costs for the municipality, new land uses such as allotment gardens and Community Supported Agriculture farms can be included according to the Master Plan indications.

## Discussion

### Relevance of the green oriented urban development strategy

Many countries with increasing urbanization processes are contending with a host of land-use challenges (e.g., agricultural land conservation, habitat fragmentation, historic

preservation, affordable housing and infrastructure planning) and seek market-based policy solutions (McConnel et al. 2003). One potential market-based solution is the TDR, which allows severing of the right to develop land in a free-market system of willing sellers and buyers (Kaplowitz et al. 2008). While some scholars have pointed to the potential of TDR to preserve natural resources at low public costs (Levinson 1997), others have highlighted benefits of its flexibility as a market-based tool (Miller 1999).

In the case of the Catania Master Plan, the attractiveness of the TDR mechanism for developers is ensured by setting the values of FAR parameter according to real estate

market values (see above). Moreover, landowners and developers will be encouraged to invest (even if in a limited portion of the RZs) in areas that are mainly zoned for public services and thus without any opportunity for development.

The GOUD strategy adopted in the Catania Master Plan will allow the acquisition of about 430 ha of new accessible public greenspaces, an increase of a staggering 600 % from the existing 70.2 ha. This will remarkably increase the number of inhabitants who have the opportunity to access to good quality greenspaces, considering that these areas are currently abandoned, privately owned and thus not accessible by citizens. On the

other hand, the cost of the proposed strategy is the provision of developable zones, representing about 108 ha (20% of the total area of RZs). Within these areas, built up zone can be at most 70% (about 75 ha in the entire municipality), while the rest must be maintained non-built and permeable, even if privately owned. This also means that it would be possible within the Developable Zones to design solutions that can enhance the overall evapotranspiration and permeable features. This will be insured by the compliance with the norms imposing the minimum amount of trees coverage and the percentage of permeable surface.

UES usually tend to decline as urban density increases. For this reason an appropriate design of greenspaces based on extensive tree cover within dense urban fabric can enhance ecological performance and thus the provision of ecosystem services (Tratalos et al. 2007). In the proposed RZ the prospective increase of tree canopy can partly compensate for the loss of permeable soil due to new development providing a greater amount of carbon storage, carbon sequestration and air cleaning. In addition, other UES are represented by the enhanced accessibility to greenspaces for recreational and cultural purposes: this accessibility can be achieved by creating a new cycle-pedestrian based on existing road network and new greenspaces (Barbarossa et al. 2013).

Recent reviews of policies for urban greenspaces planning highlight the numerous benefits of the incorporation of these areas into higher density built environments, spanning the economic, social and environmental dimensions (Byrne & Sipe 2010, Maruani & Amit-Cohen 2007). Moreover, a well-designed and integrated greenspaces can attract new residents to invest in their communities, making more appealing the standard of living in higher density built environments and thus resulting as a source of financial resources for municipalities, as confirmed by recent experiences of urban planning in Malmö, Brisbane or Stockholm (Byrne & Sipe 2010, Ugglia 2012). For the case study of Catania municipality, a particular challenge the proposed GOUD strategy has to face is the extremely high density settlement. The type of urban fabric requires particular policies dealing with the limited amount of existing greenspaces, reduced availability of open spaces to be used as new greenspaces and, at the same time, the pressures of the real estate market calling for new land to develop. For this reason, Transfer of Development Rights programs coordinated by municipal administration could be an effective solution (Bengston et al. 2004, Porter 1997).

Different typologies of policy tools for the management of greenspaces are available, mostly depending on scale (urban, regional, national), national regulations and

aims: public acquisition of land, regulatory approaches, and incentive-based approaches are among these tools (Bengston et al. 2004). Different scholars have pointed out the critical phase of the implementation for these tools, linking the impact of urban policies on their real implementation (Pendall et al. 2002). Another critical point is the identification of the correct administrative level to be chosen for the management policy of the greenspaces (Bengston et al. 2004). In Italy, land-use planning and policy have long been the domain of municipal administration. Municipal Master Plans have always gathered the interests of different categories of stakeholders. For this is the reason, this administrative level might be the more appropriate to develop land policies aimed at the preservation and enhancement of public greenspaces.

As all planning process, the complete implementation of a Master Plan is a very long-term process, where planning choices about land-use might require several years for their complete application. This is even more true for this case study, where the GOUD strategy is part of a Master Plan which is currently under the approval by the city council. For this reason the real effects of the GOUD strategy will need an extended time to be proved to be effective.

#### *Green oriented urban development and urban ecosystem services*

According to the Millennium Ecosystem Assessment classification (Millennium Ecosystem Assessment 2005), several categories of UES can be increased following the application of the GOUD approach. Among “provisioning services”, the supply of crops (mostly fresh vegetables and fruit) might be a relevant effect of GOUD. New forms of agriculture such as community-supported agriculture are environmentally sound since they reduce “food miles” (Lang et al. 2001) thanks to the proximity between producers (urban farmers) and consumers (citizen) (Bougherara et al. 2009). The provision of new habitats can also be achieved, including the establishing in time of novel urban ecosystems (Kowarik 2011). Less relevant but not negligible is the timber production that can be obtained from the maintenance of greenspaces (Young 2010).

For “regulating services”, new greenspaces in each RZ can contribute to microclimate regulation through evapotranspiration processes and shading effect (Bowler et al. 2010). New greenspaces can directly affect air quality in two different ways: by increasing dry depositions and by decreasing biogenic volatile organic compound (BVOC) emissions that can act as precursors of secondary air pollutants (Escobedo et al. 2011). Carbon storage/sequestration by urban trees is another regulating service in ur-

ban areas, mainly depending on tree size, lifespan, growth rate, and tolerance to urban stress. A moderate increase of pollination mechanisms can also be observed, especially in relation to new forms of agriculture that can be implemented in the new city green infrastructure.

The “cultural service” is defined as the “recreational pleasure people derive from natural or cultivated ecosystems” (Millennium Ecosystem Assessment 2005). Even if this service may be less tangible than the material ones, it is one of the most important and crucial in urban areas, as people are willing to acknowledge the contribution of open spaces to well-being, cultural and spiritual enrichment (Millennium Ecosystem Assessment 2005, Fagerholm et al. 2012). By integrating new greenspaces in the existing urban fabric, the GOUD strategy might be able to increasing the amount of green area available for citizens. Finally, there are the positive effects of an interconnected network of greenspaces on urban mobility. Tree canopy can provide shade along cycle and pedestrian paths, a key element for encouraging sustainable means of transport, considering the climate condition of the city characterized by long and hot summers.

#### *Possible indicators for UES*

GOUD strategy needs to be based on a careful evaluation of involved UES. Consequently, a set of indicators has to be established and calculated, according to UES to evaluate available data. The first criterion for choice of indicator is the possibility of performing a small-scale assessment using detailed land-use/land cover data. Ecosystem services are often assessed from land-use data, but general data (such as Corine land cover or other regional land-use data sets) do not have a sufficient resolution at the urban scale. A second critical element is the resolution of spatial units for their calculation, as administrative units such as NUTS statistical areas (Maes et al. 2011) cannot be suitable. For these reasons, high-resolution land-use data (below 1:10 000 scale) and sub-communal units (districts or neighborhoods) should be used in the assessment. Moreover, land-cover data should be preferred to land-use ones, as many UES are dependent on land cover features such as trees, shrubs and grass cover (La Rosa & Wiesmann 2013).

Several indicators for the evaluation of the UES have been proposed by many studies (Lovell & Taylor 2013, Haase et al. 2012, Gómez-Baggethun & Barton 2013). However, it is often hard to have access to data needed to calculate such vast set of indicators, especially for public administration with financial constraints. Moreover, some of the available indicators are designed to be evaluated at scales not adequate for assessing local environmental effects of municipi-



**Tab. 4** - Indicators for involved UES in the green oriented development strategy.

Indicator	Provisioning Services	Regulating Services	Cultural Services
New urban farmlands [ha]	Crops	-	-
New urban ecosystems [ha]	Habitat Service	-	-
Carbon storage [tons ha <sup>-1</sup> year <sup>-1</sup> ] (Nowak & Crane 2002, Davies et al. 2011)	-	Air quality regulation	-
Carbon sequestration [tons ha <sup>-1</sup> year <sup>-1</sup> ] (Nowak & Crane 2002)	-	Air quality regulation	-
Cleaning air production [m <sup>3</sup> ] (Koschke et al. 2012)	-	Microclimate regulation, air quality regulation	-
Accessibility to urban greenspaces (La Rosa & Privitera 2013)	-	-	Spiritual, eesthetic, recreation, education
Connectivity of new greenspaces (Barbarossa et al. 2013)	-	-	Spiritual, eesthetic, recreation, education

palities' Master Plans. In Tab. 4 a set of indicators is proposed. Each indicator is not intended to evaluate one or more UES exhaustively but should be considered as its proxy.

A detailed assessment aimed at quantifying the effects of UES is currently in preparation. So far, results data referring to a limited set of RZs (4.1, 4.2, 4.3) have shown that the provision of new greenspaces generates an overall increase of accessibility of 410%. The accessibility indicator calculates the number of inhabitants (obtained by vector census tracts) that can access each green patch and weighs this number with the inverse of the distance from each residential patch. Within a buffer radius of 2 km from each new planned greenspace, the total number of inhabitants that have access to it is more than quadrupled.

In the proposed approach, a direct relationship between greenspaces and UES is assumed, as existing greenspaces are considered to be the main providers of UES. For this reason, a complete assessment of the increase of UES according to chosen indicators can be made if the new greenspaces in all RZs are designed in detail. Only after this can the total increase of UES be accounted. Therefore, the detailed design of all RZs is a fundamental prerequisite.

There are some critical issues concerning the use of indicators for the UES assessment produced by greenspaces. The most significant one is the relation between urban greenery and carbon sequestration. Simplified models (Rowntree & Nowak 1991) assume a uniform relationship between tree cover and net carbon uptake. However, this relationship is much more complex and depend, among other things, on the canopy structure and its composition of species (Nowak & Crane 2002). This means that very detailed land cover data - supported or integrated by some direct on-ground surveys - might be necessary in order to perform a more accurate assessment, even if this activity may often not be financially sustainable for local public administrations.

### *Planning processes and ecosystem services*

A consideration is needed regarding the relationship between planning processes and the concept of ecosystem services. The latter could be of high value for facilitating the exchange between stakeholders involved in the planning process, increasing the consensus on shared objectives and balancing conflicts between private and public stakeholders. The communication of the role of UES may be of the utmost importance in planning process. This becomes even more crucial when resources for public land acquisition are limited, and their optimization is often seen as the most important priority for public decision makers. It is therefore fundamental to choose the most appropriate way to communicate efficiently the relation between land-use planning choices and UES. This calls for a first definition of shared common values and services provided by ES among the panel of stakeholders in the urban planning process. Maps and GIS web-mapping tool (Sherrouse et al. 2012) might facilitate the exchange of information and shared values on UES under exam. This would create a higher acceptance of the co-developed planning choice, policies and accepted trade-offs. In this direction the use of simplified layout maps as the one showed in Fig. 4 might help stakeholders and communities in proposing alternative of land uses and discussing related pros and cons.

### **Conclusions**

The adoption of the ecosystem services concept by urban planners is a relevant step forward in the evolution of land-use planning. Planners have also to be aware of the diversity of perspectives on ecosystem services and they have to take that diversity into account when making relevant decisions (Hubacek & Kronenberg 2013). However, decisions oriented to ecosystem services protection and enhancement have to take into account limitations in the availability of funds for their implementation.

Urbanization, if properly planned, can potentially contribute to strengthen biodiversity and ecosystem service provision in urban systems. Although a high number of researches has highlighted the importance of UES in city planning, few studies or planning experiences have proposed criteria and meaningful solutions on how urban development can be planned taking into consideration UES. Existing references show only general criteria for increasing city compactness (TE-EB 2011, Tobias 2013, Tratalos et al. 2007), or propose applications of urban design at limited geographical extension (Grêt-Regamey et al. 2013). The Green Oriented Urban Development proposes an approach to urban development planning that increases the urban ecosystem services at reduced costs for the municipality, based on the application of a TDR programme. The aims of this process are public acquisition of greenspaces through the use of market incentives and a focus on a sound design for these newly acquired spaces. In the examined case it is possible to obtain a relevant amount of new public greenspaces (more than 400 ha) that are paid for by transferring and exchanging development credits.

Cultural and regulating ecosystem services are considerably increased through the improvement of accessibility of greenspaces and the increase of tree land cover. Part of these areas will be available for urban agriculture contributing to the production of food at local level, reducing at the same time maintenance costs for the municipality.

The GOUD approach is particularly relevant in contexts where local administrations do not have enough funds to implement public policies for protecting open spaces and turning them into accessible urban greenspaces.

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