

Relationships between overstory and understory structure and diversity in semi-natural mixed floodplain forests at Bosco Fontana (Italy)

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Keywords: Deciduous Forests, Functional Diversity, Diversity Measure, Hemispherical Photography, Leaf Area Index

Introduction

Only less than 1% of temperate deciduous forests in Europe are undisturbed, free of logging, grazing, deforestation and other intensive uses (Reich & Frelich 2002). Mixed temperate forests show a high level of naturalness, thus becoming a basic reference for forest management (Meyer et al. 2003, Balvanera et al. 2006, Burrascano et al. 2008, Gamfeldt et al. 2013). New silvicultural systems have emerged during recent decades, based on the assumption that diversity patterns and ecological processes are more likely to persist when disturbances due to management mimic the patterns and processes of natural distur-

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bances (Decocq et al. 2004, Vandekerkhove et al. 2009). Management regimes mimicking natural disturbances can contribute to diversify stand structure, and this may increase biodiversity through time (Falinski 1989, Frelich & Reich 2003, Campetella et al. 2004) whilst aiming at economic targets and minimizing modifications of the forests (Emborg et al. 2000).

Close-to-nature forest management requires a comprehensive knowledge of the stand structure, diversity and species interactions naturally occurring in forest ecosystems (Butler-Manning 2008). In the last decades, the number of studies conducted in natural forests sharply increased (Falinski 1989, Scheller & Mladenoff 2002, Campetella et al. 2004, Petritan et al. 2012, Sabatini et al. 2013). Forest stand structure is an important factor in determining species diversity (Larsson 2001, Marchetti 2004, Corona & Marchetti 2007) which is a combination of species richness and their relative abundance (Newton 2007). Functional diversity is another component of species diversity which has received much less attention in the scientific literature, though recent studies highlighted its crucial importance in shaping the ecosystem processes (Díaz & Cabido 2001).

The composition of overstory species in the forest may influence understory vegetation diversity and composition (Palik & Engstrom 1999). Forest overstory-understory relationships are complex and mutual, but are dominated by the overstory canopy structure which affects the availability of different resources on the forest floor (Scheller & Mladenoff 2002, Burrascano et al. 2011, Burton et al. 2011, Cutini et al. 2015). Leaf Area Index (LAI) is a canopy attribute often used to analyze forest overstory-understory relationships (Macfarlane et al. 2010) and to understand the structure and functions of forest ecosystems at various scales (Bond-Lamberty et al. 2002). LAI is strongly influenced by species, climate, soil fertility and tree density (Gholz 1982, Angelini et al. 2015). Overstory LAI has strong influence on understory light availability, regeneration and successional dynamics (Lambers et al. 1998). Several studies investigated both overstory and understory LAI in boreal forests (Constabel & Lieffers 1996, Aubin et al. 2000) and in temperate mountain forests (Augusto et al. 2003, Chianucci et al. 2014), but these measurements had rarely been taken in temperate floodplain forest.

Floodplain forests (one of the 14 categories under the European Forest Types classification framework - Barbati et al. 2014) are highly-dynamic and communityrich natural ecosystems among the most threatened in Europe. Indeed, about 90% of the original floodplain forests disappeared in the last century (Ward et al. 1999), mainly due to anthropogenic impacts (Capon et al. 2013, Mosner et al. 2015). These ecosystems are generally described as "azonal" (Klimo & Hager 2001), meaning that local vegetation community is more affected by local environmental conditions (e.g., flooding) than by overall climatic constraits. Hydrologic conditions are the most prominent features driving the ecological processes in floodplains (Gosselink et al. 1990, Lugo et al. 1990, Carter 1996), and affecting the composition and structure of floodplain forest communities (Light et al. 2002).

The semi-natural reserve of "Bosco Fontana" is one of the last remaining floodplain forests in northern Italy (Zapponi et al. 2014), and one of the most endangered ecosystems in Europe (Schlaghamersky 2000). The forest has been managed as coppice with standards until the 1980s, when the reserve was created. Since then, the lack of human disturbances has led to an increasing incidence of the natural processes on forest structure and patterns. Most of the forested area consists of hornbeam (Carpinus betulus), pedunculate oak (Quercus robur), northern red oak (Q. rubra) and Turkey oak (Q. cerris) that prevails in the overstory (Dalponte et al. 2007). The high level of diversity and naturalness of the stands makes this forest an ideal site for studying structure and dynamics in mixed floodplain forests.

The aim of the study was to investigate the structure, diversity, composition, and LAI of forest overstory and understory at Bosco Fontana from 1995 to 2005, as well as to assess the influence of the environmental factors on the main structural and diversity parameters. We analyzed how overstory and understory structure did vary according to soil and environmental conditions. Our specific goals are summarized by the following questions: (i) how do overstory and understory layers vary in taxonomic, structural and functional diversity among xeric, mesic and moist sites? (ii) what are the relationships between overstory and understory layers among xeric, mesic and moist sites? (iii) what is the relationship between understory structure and diversity related to environmental conditions?

Material and methods

Study site

Bosco Fontana is a State Natural Reserve in northern Italy (45° 12' N, 10° 44' E). The climate is continental, with long, cold winters and hot, humid summers. The average annual temperature is 13.2° C and the average annual rainfall was 658 mm (Campanaro et al. 2007). Eighty-five percent of the Reserve is covered by an ancient floodplain forest and a semi-natural woodland (Mason 2002, 2004) belonging to the Sub-Atlantic and medio-European oak or oakhornbeam forests of the Carpinion betuli (code 9160, Habitats Directive - 1992/43/ CEE). Six permanent monitoring plots were sampled in 1995 and 2005 based on the "SILVI-STAR" system (Koop 1989). These plots were managed as coppice with standards until the '80s and then left to the natural evolution (Mason 2003). The only exception was plot ID5, where the alien species red oak (*Quercus rubra*) was established after the second World War, which is currently under progressive removal through interventions aimed at increasing the dead wood (Cavalli & Mason 2003, Zapponi et al. 2014).

A survey was conducted in three out of the six permanent plots, which were chosen as representative of the overstory and understory composition of the Natural Reserve. In particular, plots ID1, ID2 and ID3 reflect the xeric, mesic and moist regime variant of the oak-hornbeam forest, respectively.

The forest overstory survey was conducted in core areas (70×140 m) established within each of the three aforementioned plots, while understory was surveyed in a central area (2×100 m) nested within each core area (see below). Three additional 10×100 m plots (ID4, ID5, ID6) were established for the analysis of the overstory, each with a nested area of 2×100 m for the understory survey. The plots were categorized into three moisture regime variants (xeric, mesic, moist conditions) based on soil moisture measurements (Mason 2004). The main stand attributes of the study plots in the two inventory years (1995, 2005) are listed in Tab. 1.

Stand structure and composition

All vascular plants with height above 1.30 m and diameter above 5 cm were classified as overstory in both surveys (1995 and 2005). Each plant was identified at the species level and its diameter at breast height (1.30 m) and height measured. Stand structure, diameter distribution and species basal area contribution was compared between the two inventories to assess change in overstory structure and composition.

Within each monitoring plot, fifty 2×2 m permanent understory sub-plots were established along a linear transect at the center of each monitoring plot. The understory survey was conducted during periods of peak vegetation cover (July-August) in both 1995 and 2005. Each vascular plant was identified at the species level and its cover-abundance estimated using the Braun-Blanquet scale, which was converted to percentage cover values.

Tab. 1 - Main stand characteristics of the studied plots in the two inventory years (1995, 2005). Standard errors are reported in brackets.

Plot	Hydrology	1995		2005		
		Basal area (m² ha⁻¹)	Height (m)	Basal area (m² ha⁻¹)	Height (m)	
ID1	xeric	19.65	14.9 (0.3)	23.32	14.5 (0.4)	
ID2	mesic	23.59	14.9 (0.4)	30.45	18.7 (0.6)	
ID3	moist	24.94	12.8 (0.2)	31.93	16.5 (0.5)	
ID4	xeric	13.41	9.3 (0.1)	18.16	12.4 (0.3)	
ID5	mesic	30.65	18.8 (0.6)	20.86	14.7 (0.4)	
ID6	mesic	27.3	15.1 (0.4)	26.73	15.1 (0.4)	

Diversity indices

Taxonomic diversity at both overstory and understory level was measured as species richness (*SR*) and Shannon's index (*H*). Functional diversity at both levels was measured as functional dispersion (*FD*) as proposed by Laliberté & Legendre (2010). First, the mean distance of each species to the centroid c_i of the x_i species-by-trait matrix is calculated (eqn. 1):

$$c_i = \frac{\sum a_j x_{ij}}{\sum a_i}$$

where a_i is the abundance of the species jand x_{ij} is the attribute of the species j for the trait *i*. The index *FD* is then computed as the weighted mean distance z to the weighted centroid (eqn. 2):

$$FD = \frac{\sum a_j z_j}{j}$$

where a_i is the abundance of the species jand z_j is the Euclidean distance of the species j to the centroid of the species-bytrait matrix. This index is strongly correlated with Rao's quadratic entropy Q (Rao 1982) as both indices estimate the dispersion of species in the traits space, weighted by their relative abundances.

Shade tolerance and drought tolerance were the functional traits considered in this analysis. Both these traits are relevant in terms of ecosystem dynamics and management (Roberts & Gilliam 1995, Bartha et al. 1998). Species' values for both traits were obtained from Niinemets & Valladares (2006), or from additional literature sources when not available therein (e.g., Mondoni et al. 2009, Sobolewska et al. 2013, Pivovaroff et al. 2014). The full list of species' values for shade and drought tolerance is reported in Tab. S1 (Supplementary material). The FD index was computed separately for each trait and combining both traits using the "FD" package (Laliberté & Legendre 2010) and the R software (R Core Development Team, Vienna).

For the overstory layer, species basal area was used as surrogate of abundance in the computation of both the overstory functional dispersion (FD_0) and the Shannon's Index (H_0). The species' cover was used as a surrogate of species abundance to compute understory functional dispersion (FD_0) and the Shannon's index (H_0). Moreover, understory diversity indices were calculated for each sub-plot quintuplet (*i.e.*, every 10 m along the transect) to obtain a reasonable minimum number of samples in the analyses.

Leaf area index survey

Leaf area index of both overstory and understory layers was estimated in a subset of three plots (one xeric, one mesic and one moist plot) according to Chianucci & Cutini (2013) and Chianucci et al. (2014). Downward-facing images of the understory layer and upward-facing images of the overstory layer were collected in 2005 using a Nikon Coolpix 4500 equipped with a FC-E8 fisheye lens converter set to F1, minimum aperture (F 5.3) and one-stopunderxposure. For each monitoring plot, 120 understory images and 120 overstory images were collected along a grid, with sampling points spaced 10 m each other. Images were collected close to sunrise at a height of 1.5 m. Overstory images were first corrected to a gamma function of 1.0 and then classified using a single binary threshold in Winscanopy[®] (Regent Instru-ments, S.te-Foy, Quebec, Canada). Understory images were classified using the

LAB2 classification method of Macfarlane & Ogden (2012) in MATLAB[®]. Overstory and understory classified images were then analyzed in Winscanopy for estimation of the leaf area index. The hemisphere of each image was divided into 7 zenith rings with a zenith angle range of 0-70° and 8 azimuth segments. Leaf area index corrected for clumping was finally estimated by averaging the generalized LAI-2000 method and the ellipsoidal LAI method, for transformed gap fraction data (log method – Lang & Xiang 1986).

Comparisons between overstory and understory layers

To evaluate the change in the overstory composition among sites, we compared the composition and the structure of the stands between the two inventory years (1995, 2005). Further, leaf area indices and the selected functional and taxonomic indices were compared among sites and dates. The significance of the relationships between overstory and understory attributes was tested using the Pearson's r cor-

relation test.

To explore the relationship between the understory and environmental conditions, we used Ellenberg's indicators for light (L), temperature (T), soil nutrient (N) and soil reaction (R), and a combination of these traits, which were assessed for the Italian flora by Pignatti et al. (2005) and are currently available from the "TR8" package (Bocci 2015) for the R software. The understory cover-abundance values were used as weighted averages for computing Ellenberg's indicators. This approach is an alternative to direct field measurements of site characteristics (Ter Braak & Wiertz 1994, Decocq et al. 2004). Environmental effects on understory attributes were investigated by the Pearson's r correlation test.

Results

Stand structure and composition

The studied plots generally showed a reverse-J diameter distribution (Fig. 1), which was more pronounced in the second inventory in 2005. Stand basal area in-

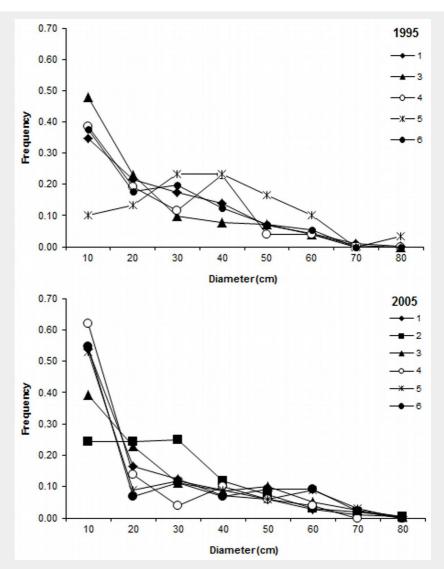


Fig. 1 - Distribution of tree stem diameters at breast height in the studied plots in 1995 (top) and 2005 (bottom).

Tab. 2 - Basal area contribution (m² ha⁻¹) by each species surveyed in the overstory plots at the two inventory years (1995, 2005).

Cracios	1995						2005					
Species –	ID1	ID2	ID3	ID4	ID5	ID6	ID1	ID2	ID3	ID4	ID5	ID6
Acer campestre	0.04	0.82	0.19	0.15	-	-	0.20	0.51	0.25	0.32	-	-
Alnus glutinosa	-	-	3.00	-	2.23	-	-	-	2.72	-	2.12	-
Corylus avellana	0.05	0.04	0.65	0.02	-	0.21	0.57	0.17	0.96	0.77	0.42	0.43
Carpinus betulus	9.76	11.00	6.46	8.61	8.44	7.60	12.04	11.60	7.04	8.93	7.97	7.58
Cornus mas	-	0.00	-	-	-	-	0.01	0.01	0.00	-	0.08	0.05
Crataegus oxyacantha	0.01	0.00	0.04	0.02	0.05	-	0.01	0.00	0.00	-	-	-
Cornus sanguinea	0.00	-	0.01	-	-	-	-	-	-	-	-	-
Clematis vitalba	-	0.01	0.00	-	-	0.14	-	-	-	-	-	-
Fraxinus oxycarpa	-	-	7.28	-	-	-	-	-	12.17	-	-	-
Fraxinus ornus	0.02	3.16	0.01	0.75	-	1.29	0.10	7.85	0.00	1.37	-	0.57
Hedera helix	-	0.01	-	-	-	-	0.07	-	0.01	-	-	0.00
Juglans regia	-	-	-	-	-	1.32	-	-	-	-	-	2.46
Malus sylvatica	0.05	-	0.03	-	-	0.05	0.02	-	-	-	-	-
Prunus avium	0.49	0.50	0.76	0.32	0.57	-	1.07	0.58	0.84	0.37	0.71	-
Platanus x acerifolia	-	-	0.03	-	-	1.45	-	-	0.04	-	-	2.51
Quercus rubra	-	-	-	-	18.93	12.91	-	-	-	-	8.86	12.26
Quercus cerris	0.85	0.56	-	1.04	-	-	1.04	1.13	0.59	2.49	-	-
Quercus robur	8.22	7.35	5.89	2.29	0.44	1.31	7.86	8.57	6.37	3.61	0.64	0.79
Robinia pseudoacacia	-	-	0.07	-	-	-	0.02	-	0.16	-	-	-
Sambucus nigra	0.03	0.00	0.03	-	-	-	0.05	-	0.01	0.08	0.02	-
Sorbus torminalis	0.12	0.12	0.06	0.20	-	0.94	0.09	0.03	-	0.23	-	-
Ulmus minor	0.01	-	0.42	-	-	0.08	0.19	-	0.77	-	0.03	0.07

creased in four out of six plots by values ranging from 15 to 25% (Tab. 1), likely due to an increase by horn beam, Turkey oak and ash species (*Fraxinus ornus, F. oxycarpa*) in these plots (Tab. 2). A reduction in basal area of 46% was observed in one plot (ID5)

due to thinning of red oak (Tab. 2). Basal area was almost unchanged between the two inventories in the remaining plots (Tab. 1).

Horn beam and oaks were the most dominant species, but their relative contribu-

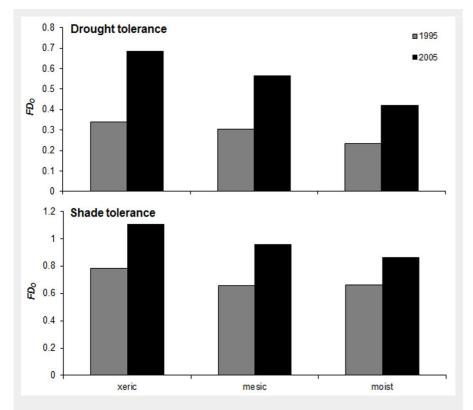


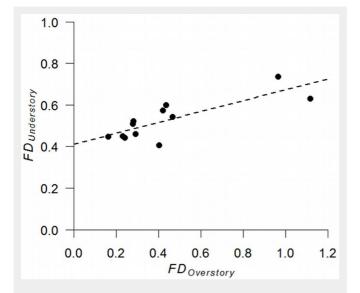
Fig. 2 - Mean values of functional dispersion (FD_{\circ}) for species' drought tolerance (top) and shade tolerance (bottom) in the overstory layer of the studied plots at the two inventory dates (1995, 2005).

tion varied according to the hydrological site conditions. The largest abundance of horn beam was observed in the xeric plots, where this species contributed to the total stand basal area between 50 to 64% in 1995 and between 49 to 52% in 2005; in the same plots the contribution of oak species varied between 25 and 46% in 1995 and between 34 to 38% in 2005. In mesic plots horn beam contributed to the total stand basal area between 28 to 47% in 1995 and between 28 to 38% in 2005, while the contribution of oak species varied between 33 to 63% in 1995 and between 32 to 49% in 2005. In the moist plot, more water demanding species (Fraxinus oxycarpa, Alnus glutinosa and Corylus avellana) largely contributed (46-50%) to the total stand basal area.

Diversity indices

The number of overstory vascular plant species found in the monitored understory plots (quintuplets) ranged from 6 to 17. Overstory functional dispersion (FDo) in drought and shade tolerance traits ranged from 0.16 to 1.12 (average ± standard deviation: 0.44 ± 0.30) and from 0.58 to 1.16 (0.85 ± 0.18), respectively. The values of FDo obtained combining the two traits ranged from 0.62 to 1.63 (1.04 ± 0.30). On average, xeric sites showed higher FD_o values, while the moist plot showed lower $FD_{\rm O}$ values (Fig. 2). Functional diversity increased between the two inventories (Fig. 2). Shannon index (H_0) ranged from 0.99 to 1.68 (1.39 \pm 0.22); the moist plot showed the highest H_{\circ} values, while xeric plots showed the lowest.

The number of understory vascular plant species found in the monitored plots



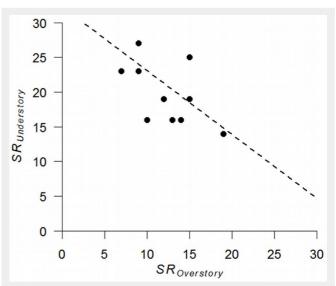


Fig. 3 - Relationship between functional dispersion of drought tolerance (FD) in the overstory and understory layers (n = 12).

Fig. 4 - Relationship between species richness (*SR*) of the overstory and understory layers (*n* = 12).

Tab. 3 - Person's r correlation coefficients between understory diversity indices and site environmental characteristics obtained from Ellenberg's indicator values (n = 120). (*SR*): Species richness; (*FD*): functional dispersion; (*H*): Shannon's index; (*): p < 0.05; (**): p < 0.01; (***): p < 0.001.

Attribute	Light (L)	Temperature (T)	Soil reaction (R)	Soil nutrient (N)	Combined Ellenberg Index	
SRu	0.14	0.01	0.07	0.23*	0.24**	
$FD_{\sf Udry}$	0.40***	0.51***	0.36***	0.30***	0.22*	
$FD_{\sf Ushade}$	-0.29***	-0.17	0.13	0.10	0.01	
FDU dry x shade	0.22**	0.33***	0.35***	0.23*	0.23*	
Understory cover	0.25**	-0.13	-0.23*	-0.06	0.48***	
Hu	0.13	-0.04	0.06	0.20*	0.53***	

ranged from 4 to 20. Understory functional dispersion ($FD_{\rm U}$) in drought and shade tolerance traits ranged from 0.12 to 1.14 (0.53 ± 0.19) and from 0.06 to 0.84 (0.42 ± 0.15), respectively; $FD_{\rm U}$ obtained combining the two traits ranged from 0.15 to 1.32 (0.73 ± 0.20). Similarly to $FD_{\rm o}$, xeric sites showed on average higher $FD_{\rm U}$ values, while the moist plot showed lower $FD_{\rm U}$ values. Shannon's index $H_{\rm U}$ ranged from 0.51 to 2.78 (1.61 ± 0.41); unlike $H_{\rm o}$, xeric plots showed on average higher $H_{\rm U}$ values, while the moist plot showed lower $H_{\rm U}$ values.

Understory diversity indices showed significant correlations with site environmental characteristics obtained from Ellenberg's indicator values (Tab. 3). The dispersion in shade tolerance was negatively correlated with light value (L). Conversely, the dispersion in drought tolerance was positively correlated with all the environmental factors. Species richness and the Shannon's index were positively correlated with soil nutrient (N) and the combined environmental index. Understory cover was positively correlated with light value (L) and negatively correlated with soil reaction (R).

Analysis of overstory and understory relationship revealed a significant positive correlation in drought tolerance between the two layers (r = 0.82, n = 12, p = 0.01 - Fig. 3). In addition, understory species richness was slightly negatively correlated with overstory species richness (r = -0.57, n = 12, p = 0.055 - Fig. 4).

Leaf area index survey

Overstory LAI averaged (± SE) 4.1 ± 0.1, 4.4 ± 0.1 and 3.7 ± 0.1 in the xeric, mesic and moist plots, respectively. Understory leaf area index averaged 1.4 ± 0.1, 1.5 ± 0.1 and 1.1 in the xeric, mesic and moist plots, respectively. Understory LAI ($L_{\rm U}$) was positively correlated with overstory leaf area index ($L_{\rm O}$) in both the xeric ($L_{\rm U}$ = 0.44 · $L_{\rm O}$ -0.38, n=120, r = 0.75) and mesic ($L_{\rm U}$ = 0.36 · $L_{\rm O}$ - 0.09, n=120, r = 0.71) plots, while was uncorrelated in the moist plot ($L_{\rm U}$ = 0.08 · $L_{\rm O}$ + 0.79, n=120, r = 0.01).

Discussion

The studied stands were characterized by a complex and highly diverse structure, which is typical of floodplain forests, as indicated by the structural and diversity indices tested. However, our results revealed that site conditions are an important aspect in explaining species distribution patterns and their interaction. Species composition in the overstory layer appeared to vary according to soil water availability, being more similar in xeric and mesic plots, in which hornbeam and oaks are the dominant species, and favoring more hygrophilus species in the moist site. Such different overstory composition is reflected by the functional dispersion indices, which increased from moist to xeric conditions. A decreasing correlation between understory and overstory LAIs was also observed with respect to increasing soil water availability.

The significant and positive correlation observed in drought tolerance and leaf area indices between understory and overstory layers suggest a mutual influence on the structure and dynamics of the two layers in temperate broadleaf forests. Further, the positive correlation between the leaf area indices of the two layers in xeric and mesic plots is contrasting with previous studies conducted in managed forests, which reported an inverse relationship between overstory and understory LAI (Macfarlane et al. 2010, Kodar et al. 2011, Chianucci et al. 2014). The understory of mesic and xeric plots analyzed in this study was dominated by Butcher's broom (Ruscus aculeatus, average cover 53%), followed by the shade-tolerant species Anemone nemorosa (16%) and the drought-tolerant Vinca minor (12%). Therefore, we attributed the positive correlation between overstory and

understory LAI observed in these plots to the large abundance of *Ruscus aculeatus*, which combines drought and shade tolerance in limiting site conditions (Pivovaroff et al. 2014).

The high functional dispersion observed in the plots characterized by relatively drier conditions suggests that these stands are undergoing an early successional stage, in which ruderal species with wide ecological amplitude are exhibiting large competition for limited resources. In this context, the large dominance of Ruscus aculeatus, combined with its high tolerance, may further displace other species in the understory by strong competition, thus limiting their presence in this successional stage (Campetella et al. 2004). In the moist plot, Butcher's broom showed a lower occurrence (29%) in the understory, while species associated with fertile and water-rich soils like Euonymous europaeus (average cover 17%), Allium ursinum (27%), Anemone ranunculoides (14%) and Corylus avellana (14%) had fairly high frequency. In the moist plot, LAI proved to be uncorrelated between the two layers, thus it could be hypothesized that this stand reflects a more mature successional stage, in which the higher resource availability have promoted niche differentiation and favored late-successional (habitat-specialist) species (Campetella et al. 2004), and where the overstoryunderstory dynamics are close to the equilibrium (Aubert et al. 2003). Taken together, the above results agree with previous reports indicating that different overstory structures can determine different environmental conditions, which drive different plants strategies aimed at tolerating stressful vs. competitive, resource-rich environments (Wright 2002, 2004, Sabatini et al. 2013). In general, our results emphasize the importance of the understory layer (often neglected in forest management decision) because of its crucial role in driving the future dynamics of the forests (Gilliam 2007).

Based on our results, we conclude that local site conditions should be carefully considered in the management of mixed temperate floodplain forests. In the more resource-limited stands, a strong interplay between the overstory and understory layers may facilitate a fast recharge of nutrient pools (Swanson et al. 2010) and provide a greater resilience to environmental stresses (Ares et al. 2010). Silvicultural practices aimed at the conservation of forest diversity should be targeted at maintaining an active dynamics in the stand by reaching a compromise between overstory canopy removal and environmental resources availability after the intervention. Finally, an effective assessment of the interplay between overstory and understory layers should be included in forest monitoring systems (Corona 2016), in order to support forest planning and management decisions.

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Supplementary Material

Tab. S1 - List of shade and drought tolerance trait values for overstory and understory vascular species.

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